

Tracking algorithms developments for SBS

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Outline

- 1 Tracking in high luminosity environment a multi step approach
 - Neural Network: association
 - Kalman filter: precise tracking

- 2 Developments
 - Conclusions

Simulated signal

Assumed rates: 20 kHz of good hits, 360 kHz/cm² of background hits

Event generation

- toy model: generation C++/ROOT
- realistic data: GEANT4 (old SBS simulator)

Occupancy in 10 cm²

On the first plane plane around the signal

- 1 signal track
- 1 background track
- 1 photon hit per plane
- 100 ghost hits per plane (combinatorial fake due to the strip readout)

The tracking is based on a multi step approach:

- charge and time correlation for clustering
- Neural network for hit association into tracks
- Kalman filter for precise track reconstruction

NN:Tracking algorithms in Mean Field Approximation

Energy function

$$\begin{aligned}
 \bullet \quad E = & d_G \sum_{ijl} \frac{(\cos \theta_{ijl})}{r_{ij} + r_{jl}} V_{ij} V_{jl} + \\
 & \alpha \sum_{l \neq j} V_{ij} V_{il} + \alpha \sum_{k \neq i} V_{ij} V_{jk} + \\
 & d_G \sum_{ijl} \frac{(\cos \theta_{ijl})}{r_{ij} + r_{jl}} \delta_{li} V_{ij}
 \end{aligned}$$

Geometric connection of the tracks



- only the forward connection is taken non null
- only the track within a cone of reference are put in connection

Mean Field Solution

$$\bullet \quad V_{ij} = \frac{1}{2} \left[1 + \tanh \left(-\frac{\partial E}{\partial V_{ij}} \frac{1}{T} \right) \right]$$

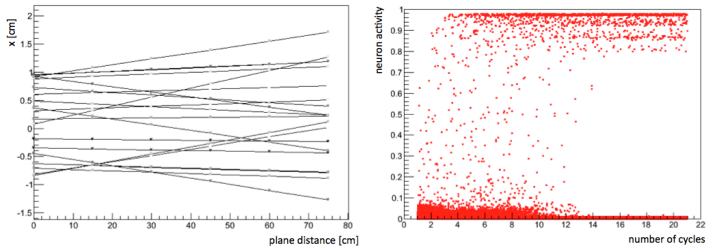
V are continuous value in $[0, 1]$

Neural network work-flow

- random initialization of V_{ij}
- asynchronous updating cycle (one neuron at a time)
- stabilization of V_{ij} (convergence and parameters settings)
- conversion in binary map S_{ij}
- control with the true generated tracks

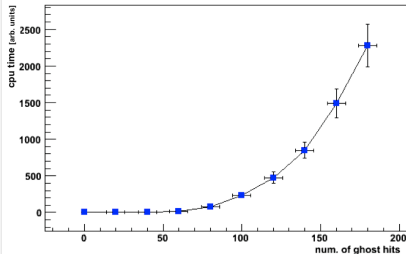
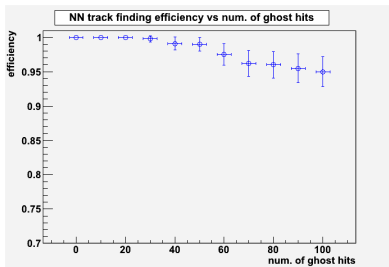
NN: toy model test results

Consider 20 straight line tracks (10 from vertex) within a small cone $2 \times 2 \text{ cm}^2$.



(left) Projection on the x -axis of the associated hits. (right) Neuron activity vs number of cycles, after 10 cycles neurons (connections) saturate to 1 (threshold 0.5).

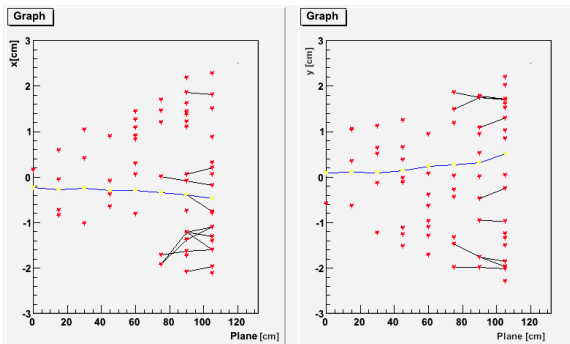
NN efficiency in track association: results

Simulated data with detectors smearing and **without multiple scattering effects**

Six chamber planes: efficiency for a track is assumed 1 if at least 4 consecutive connections are on

NN: realistic simulated event

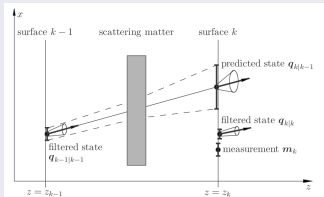
An example of reconstructed signal track for a typical event **with multiple scattering effects included** (GEANT4 SBS simulation). The two projections on x and y are shown.



Efficiency and optimization under study.

Particle filtering and smoothing

Kalman filter



Physical track: $\vec{x}_k = \Phi_{k-1} \vec{x}_{k-1} + \vec{b}_{k-1}$
Measurement: $\vec{y}_k = \mathbf{C}_k \vec{x}_k + \vec{n}_k$

Filtering: each measurement is filtered from the noise, assuming a Gaussian uncorrelated noise; covariance matrix has been evaluated taking into account the propagation between planes.

Smoothing: the hits have been reweighed in the reverse direction, using the information from the last to the first.

Matrices definition

$$\mathbf{x} = \begin{pmatrix} x \text{ position} \\ y \text{ position} \\ x \text{ slope} \\ y \text{ slope} \end{pmatrix} \quad \mathbf{y} = \begin{pmatrix} x \text{ measured coordinate} \\ y \text{ measured coordinate} \end{pmatrix}$$

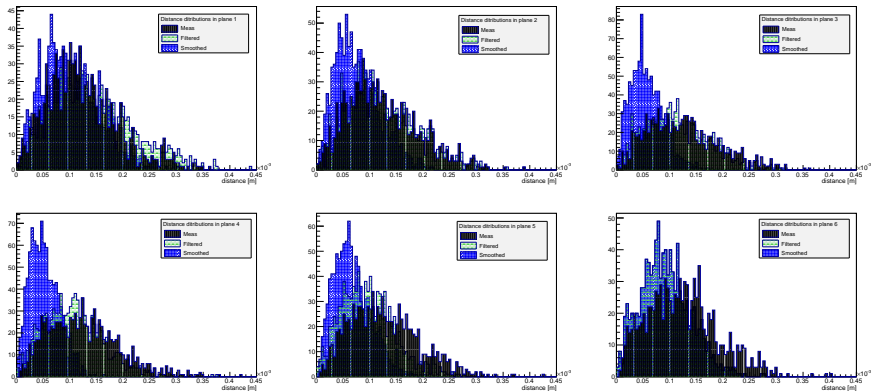
$$\hat{\mathbf{C}} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}$$

Evolution matrix and definition of the true-value initial covariance matrix

$$\hat{\Phi} = \begin{pmatrix} 1 & 0 & \Delta z & 0 \\ 0 & 1 & 0 & \Delta z \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$\hat{\mathbf{P}} = \begin{pmatrix} \text{Var}[x] & 0 & 0 & 0 \\ 0 & \text{Var}[y] & 0 & 0 \\ 0 & 0 & \text{Var}[\Delta x] & 0 \\ 0 & 0 & 0 & \text{Var}[\Delta y] \end{pmatrix}$$

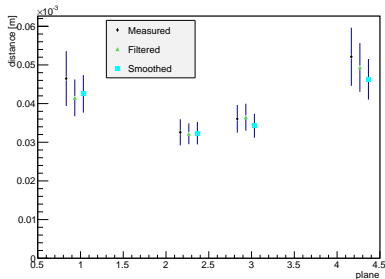
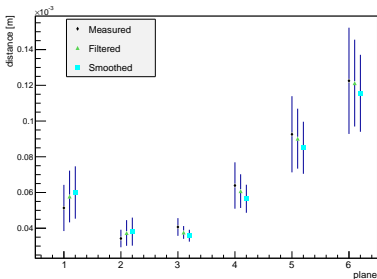
Kalman filter and smoothing: 6 chambers configuration

Simulated data **without multiple scattering**, spatial resolution of the detector $100\mu\text{m}$ 

The filtering and smoothing procedure provides a significant improvement ($\sim 40\mu\text{m}$) in the spatial resolution in particular in the central planes

Kalman filter with multiple scattering effects

Simulated spatial resolution of the chambers $\sigma = 70 \mu m$: key parameter is $\sigma_\theta = 30 \mu m$ the random displacement induced by multiple scattering from one chamber to another. Mean distances from the true hit are plotted for a sample of tracks; the bars are the RMS of the mean values.



Multiple scattering effects become dominant on the last planes \rightarrow better to use 'first planes' only to filter the tracks

Code modular structure

Event generator

- Event: hit positions= x,y,z (plane); optional [x/y cluster size, cluster charge]

Association class

- input: event hits
- hits are associated using Neural Network
- output: list of associated hits (coordinates)

Reco class

- input:(associated) hits
- Tracks filtering and smoothing
- output: tracks parameters (intercept, slop) and covariance matrix

Work in progress

- Optimization of the NN on simulated GEANT4 data
- Test KF on real data (beam test)
- Code optimization