

# Single Spin Asymmetry at large $x_F$ and $k_T$

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**Workshop on  
Transverse momentum, spin, and position  
distributions of partons in hadrons**

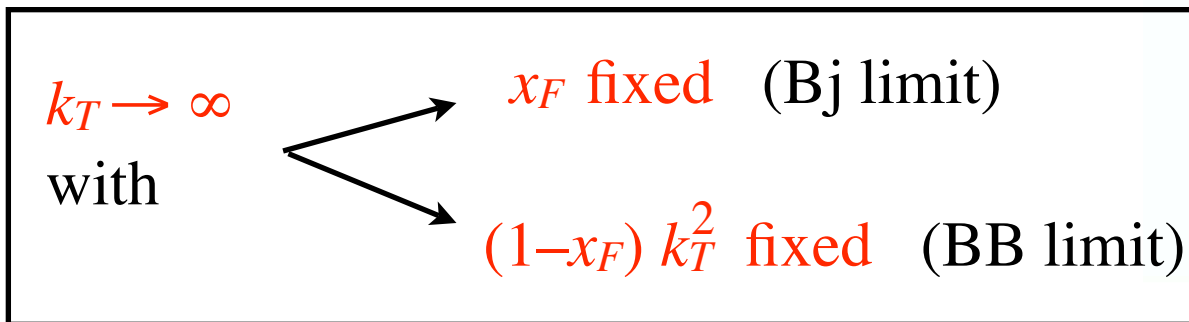
ECT\*, 11 - 15 June 2007

PH and M. Järvinen, JHEP 02 (2007) 039

## Question:

Does the large  $A_N$  measured in  $p \uparrow p \rightarrow \pi(x_F, k_T) + X$  at high  $x_F$  and  $k_T$  arise from **multiparton coherence**?

Consider two distinct asymptotic limits:



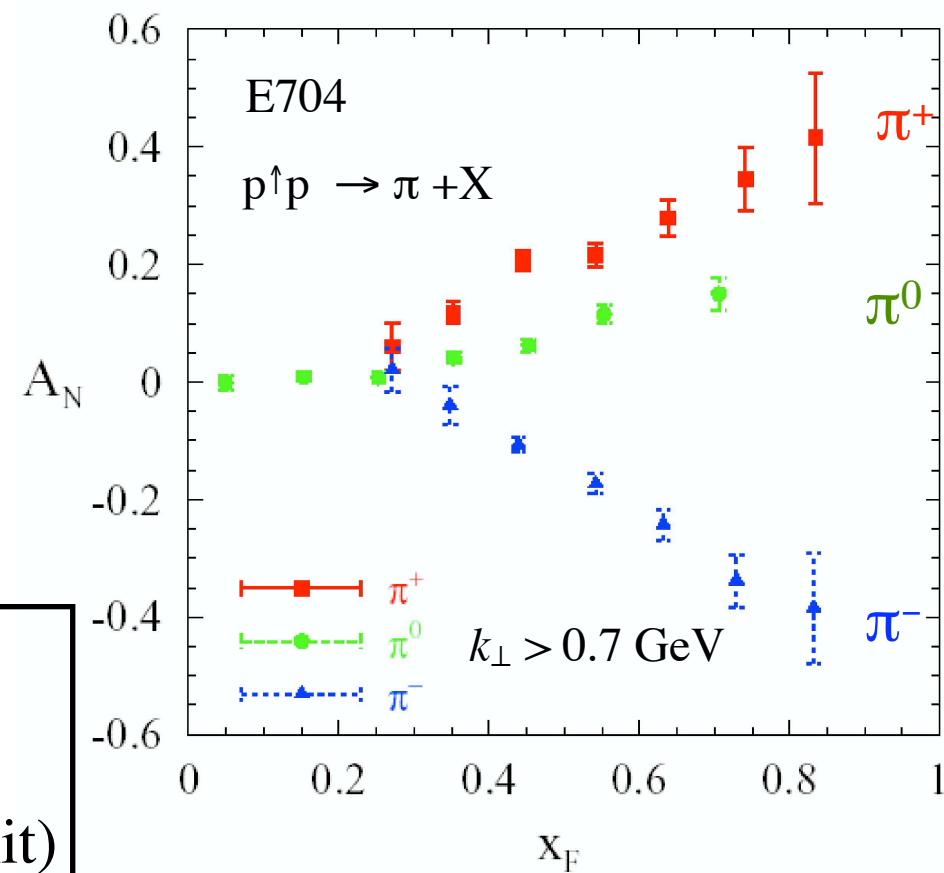
**Bj limit:** Leading twist dominates. **Only hard partons are coherent**

**BB limit:** All twists contribute. **Coherence between soft and hard partons**

Berger – Brodsky

In the BB limit, soft scattering influences the hard dynamics **at leading order in  $k_T$** .

This enables an unsuppressed single spin asymmetry at high  $k_T$ .



Cf. talk by G. Bunce

# Hard-Soft Coherence in large $x$ Fock States

The (Light-Front) energy of a Fock state with total momentum  $P$  is

$$P^- = \sum_i \frac{p_{i\perp}^2 + m_i^2}{x_i P^+} \quad \sum_i x_i = 1$$

Hence contributions to  $P^- P^+$  of order  $Q^2$  can arise in two ways:

- From **hard** partons, with  $p_{\perp}^2 \sim Q^2$
- From **soft** partons with  $p_{\perp}^2 \sim m^2 \sim \Lambda_{\text{QCD}}^2$  but with low  $x \sim \Lambda_{\text{QCD}}^2/Q^2$

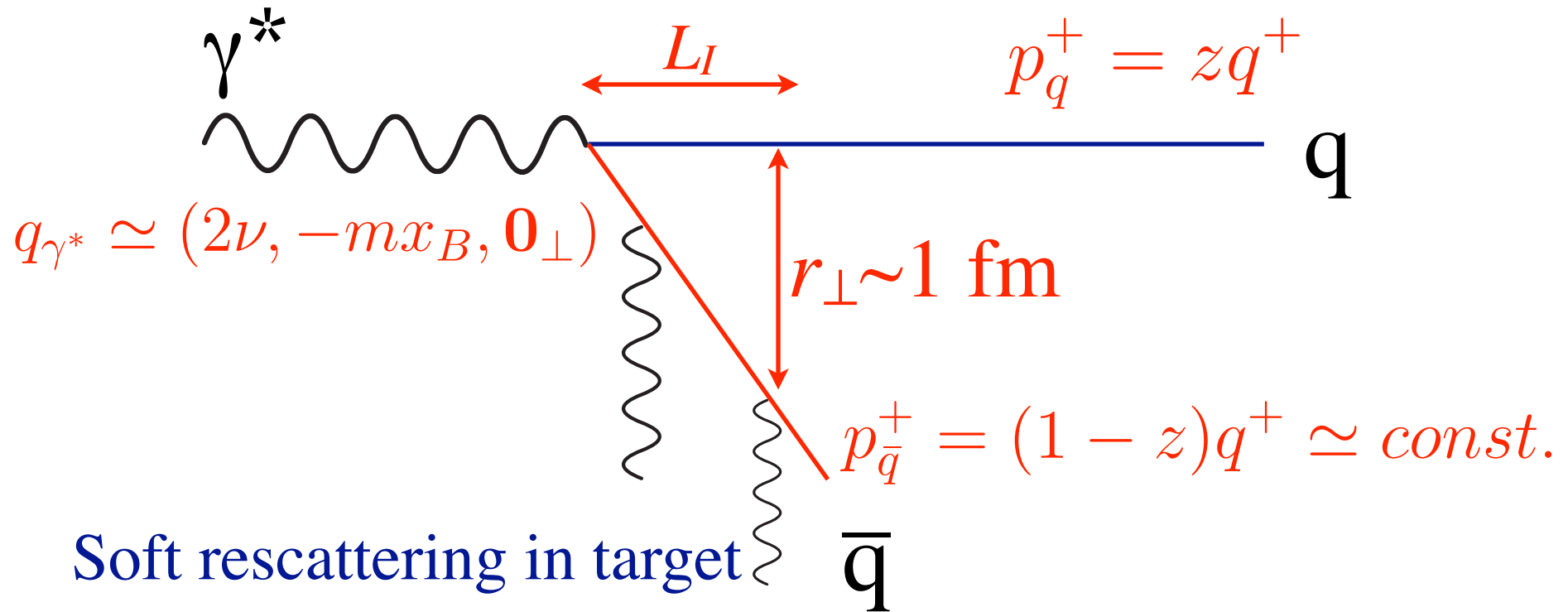
Both give commensurate, short life-times  $\sim 1/P^-$

In the limit where a hard parton takes nearly all the hadron momentum:

$x \rightarrow 1$  with  $(1-x)Q^2 \sim \Lambda_{\text{QCD}}^2$  fixed

the full Fock state interacts coherently.

# Example: Coherent dynamics of DIS in "lab frame":

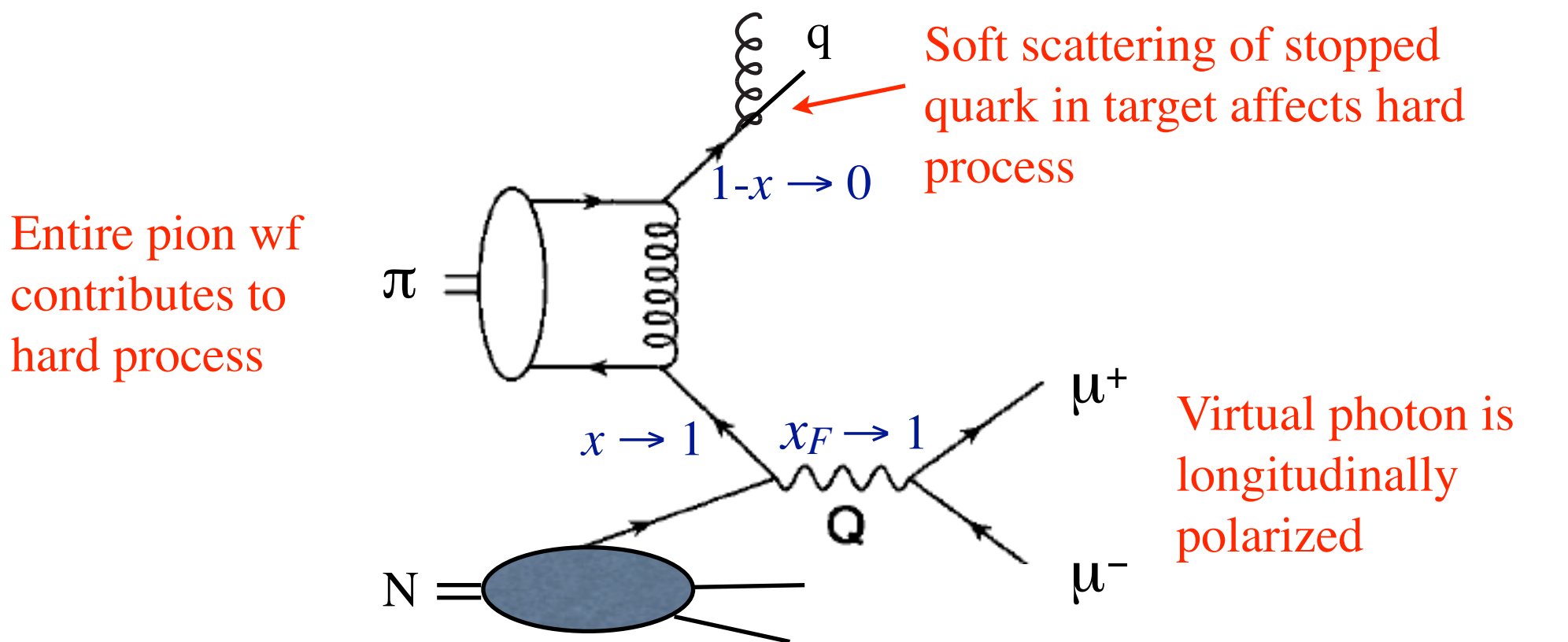


The antiquark takes a fraction  $1-z \propto 1/Q^2$  of the photon energy

Soft scattering of the slow antiquark within  $L_I \simeq 1/2mx_B$  is coherent with and determines the cross section of the hard  $\gamma^*$  scattering process.

# Example: $\pi N \rightarrow \mu^+ \mu^- X$ at high $x_F$

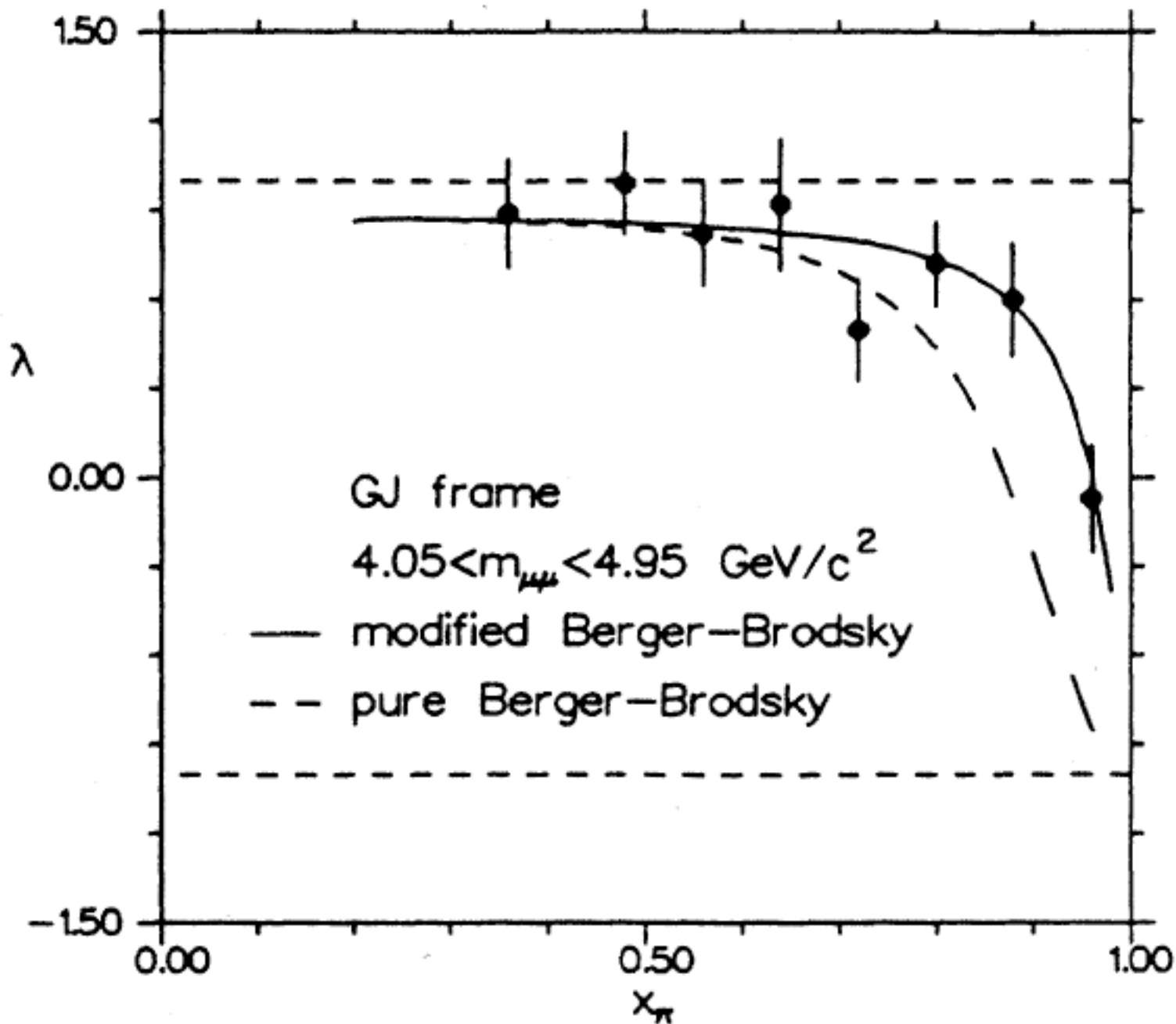
In the limit where  $(1-x_F)Q^2$  is fixed as  $Q^2 \rightarrow \infty$  :



Berger and Brodsky, PRL 42 (1979) 940

The polarization of the virtual photon is revealed by the angular distribution of the muon pair:

$$d\sigma/d\Omega_{\mu\mu} \propto 1 + \lambda \cos^2\theta$$



$\pi N \rightarrow \mu^+ \mu^- X$   
 $p_{\text{lab}} = 252 \text{ GeV}$

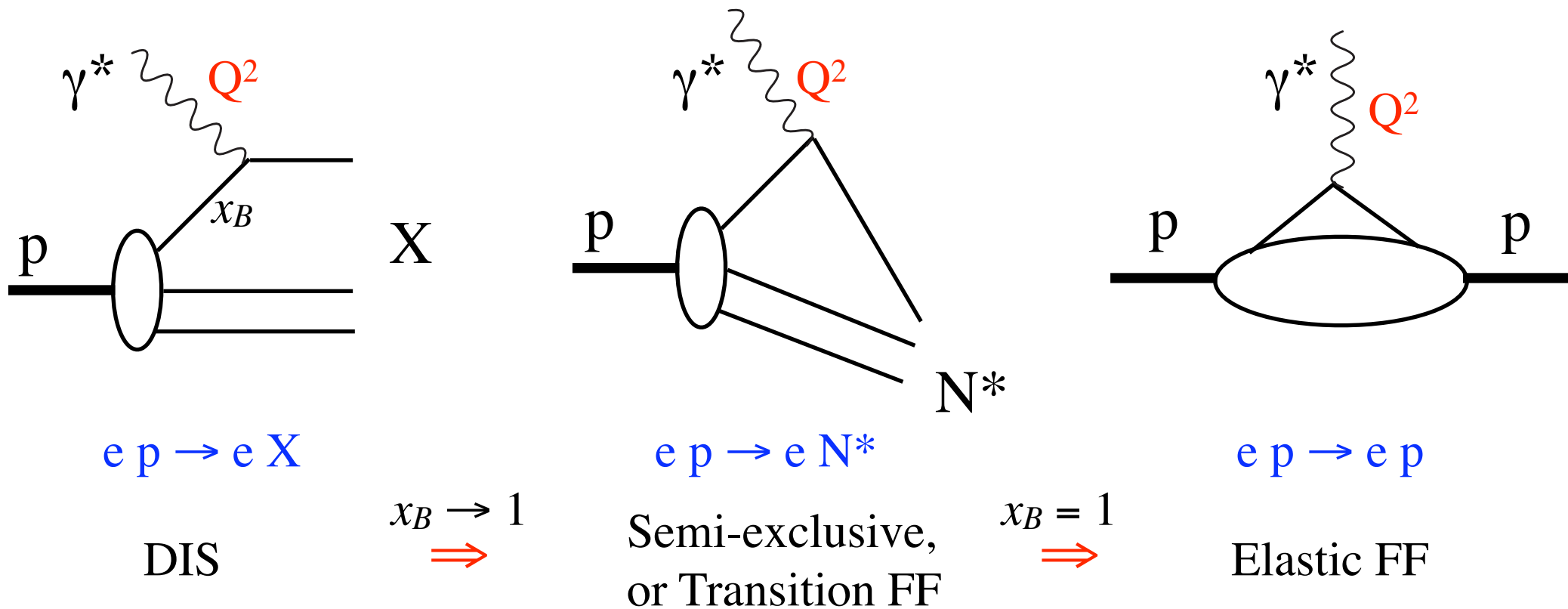
Evidence for virtual  
 photon becoming  
 longitudinally  
 polarized ( $\lambda \rightarrow -1$ )  
 as  $x_F \rightarrow 1$

# The inclusive - exclusive connection

As  $x_B \rightarrow 1$ , inclusive DIS becomes semi-exclusive, and finally exclusive.

This gives insights into the dynamics of inclusive and exclusive processes

S. D. Drell and T. M. Yan, PRL **24** (1970) 181  
G. B. West, PRL **24** (1970) 1206



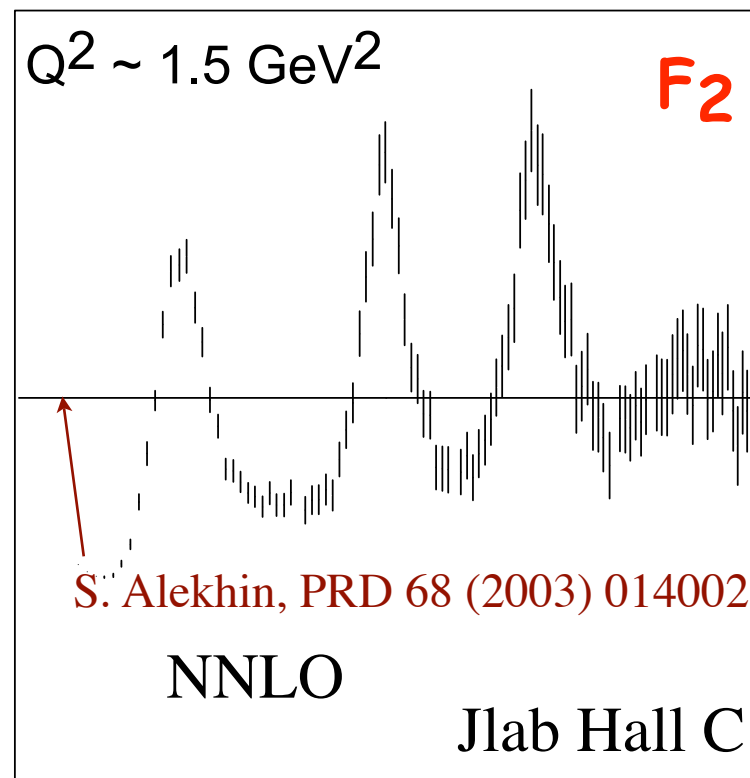
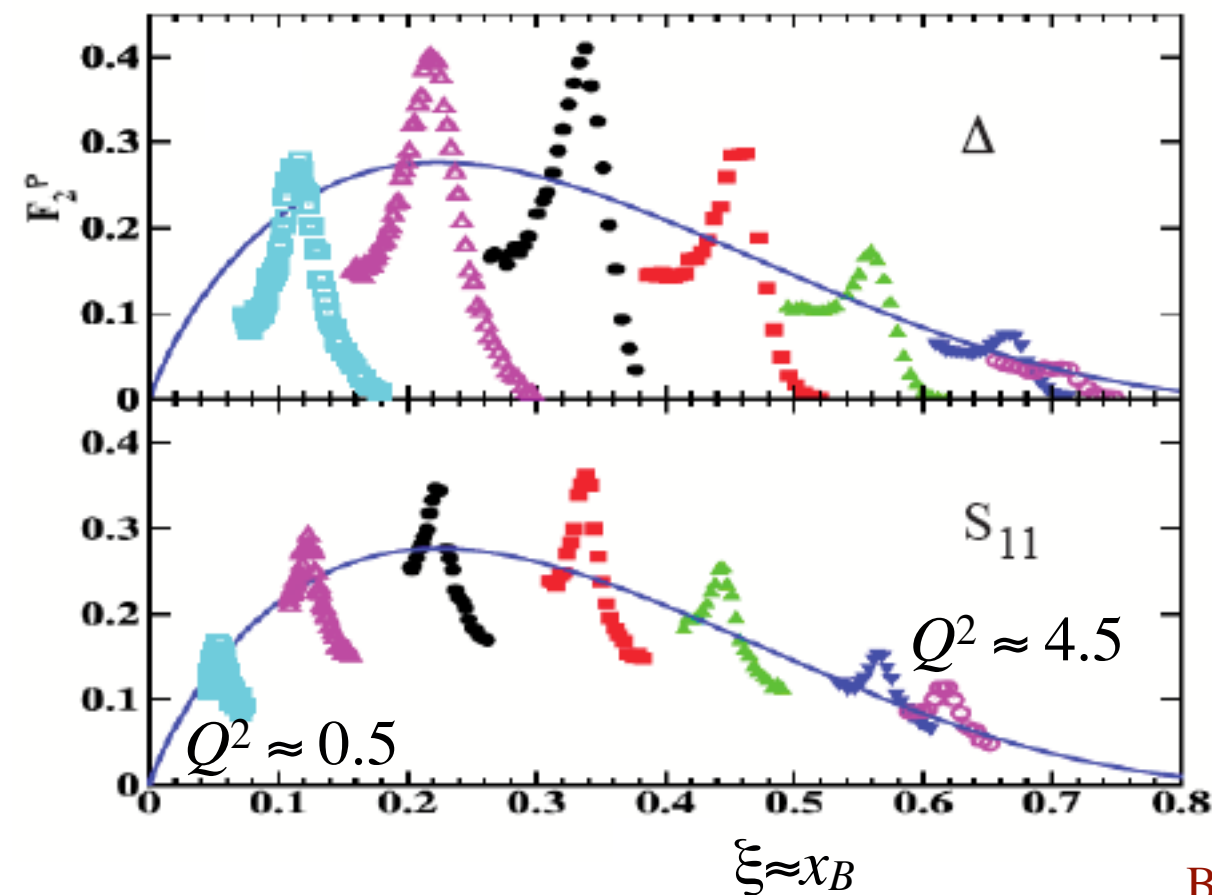
$$M_{N^*}^2 = m_N^2 + \frac{(1 - x_B)Q^2}{x_B}$$

A given resonance appears, with increasing  $Q^2$ , at **fixed  $Q^2(1-x_B)$**

# Bloom - Gilman duality

Jlab Hall C

$E=4$  GeV,  $\theta=24$  Deg



Bloom and Gilman, PRL **25** (1970) 1140

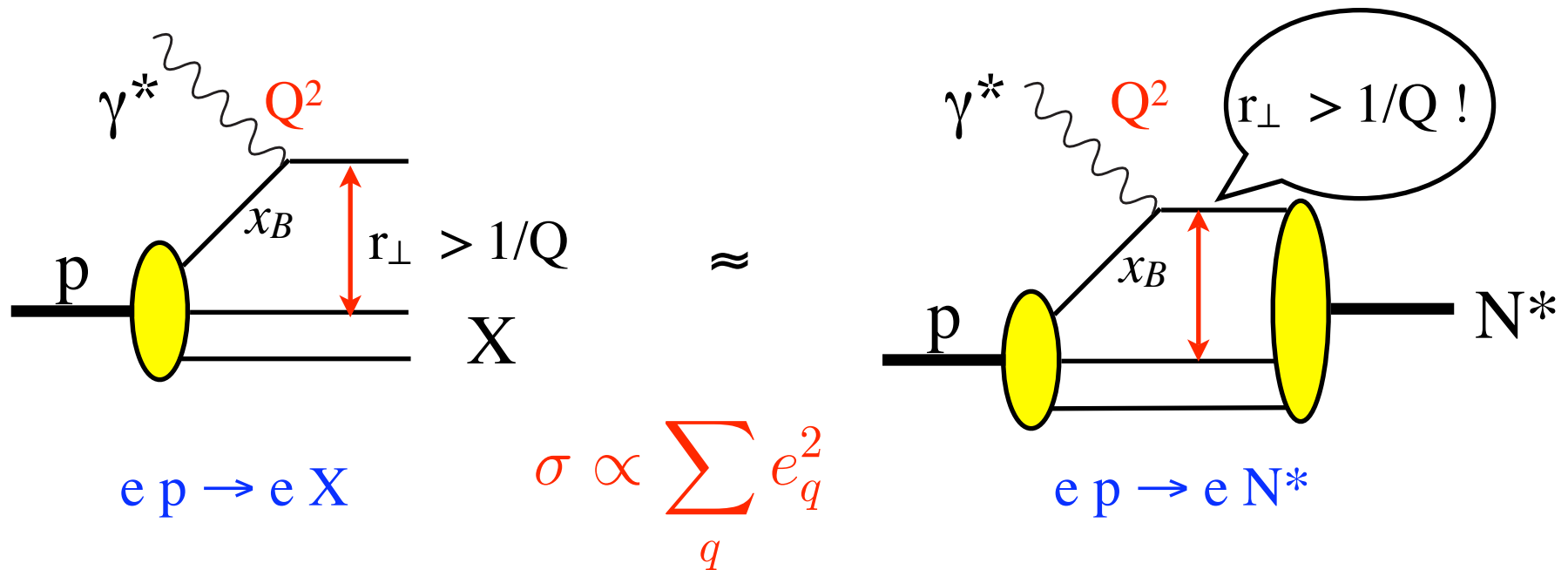
W. Melnitchouk et al, Phys. Rep. 406 (2005) 127

Duality suggests that the photon scatters from **the same target Fock states** in  $ep \rightarrow eX$  (DIS) and  $ep \rightarrow eN^*$  (FF)

The formation time of resonances in the final state is long and is incoherent with the hard scattering: **Unitarity preserves the cross section**



# Consequences of Duality



In the above interpretation of duality, the virtual photon couples **incoherently** to single quarks in DIS as well as **in exclusive form factors**

- Endpoint contribution:  $1-x_B \propto 1/Q^2$
- Protons remain noncompact in wide angle scattering
- No color transparency for  $ep \rightarrow ep$  in nuclear targets

# Single Spin Asymmetry

$$A_N = \frac{d\sigma^\uparrow - d\sigma^\downarrow}{d\sigma^\uparrow + d\sigma^\downarrow} = \frac{2\Sigma_{\{\sigma\}} \text{Im} \left[ \mathcal{M}_{\leftarrow, \{\sigma\}}^* \mathcal{M}_{\rightarrow, \{\sigma\}} \right]}{\Sigma_{\{\sigma\}} \left[ \left| \mathcal{M}_{\rightarrow, \{\sigma\}} \right|^2 + \left| \mathcal{M}_{\leftarrow, \{\sigma\}} \right|^2 \right]}$$

An SSA ( $A_N \neq 0$ ) requires:

- A dynamical, helicity-dependent phase
- Helicity flip

In hard perturbative diagrams **both** features are suppressed

Kane, Pumpkin and Repko, PRL **41** (1978) 1689

Hence the observed  $A_N$  reveals important aspects of the dynamics of scattering at large transverse momentum

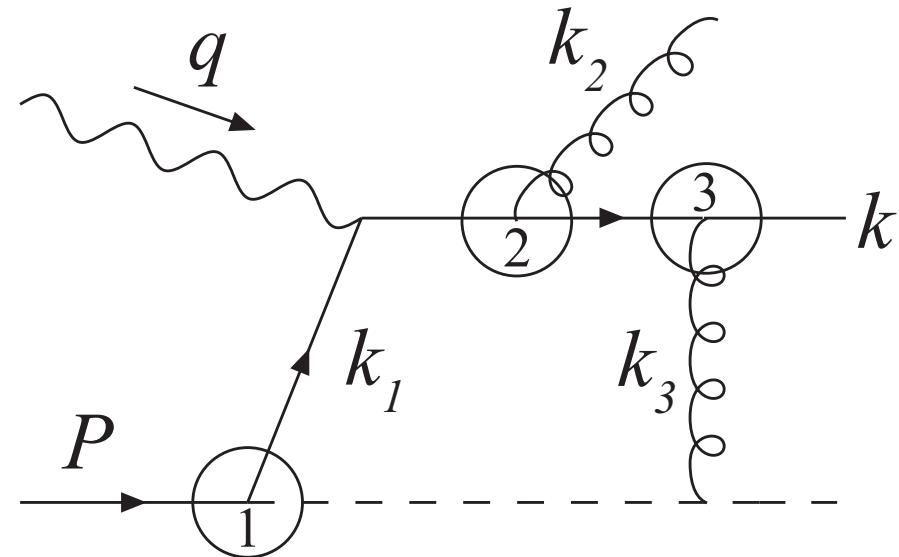
# SSA suppression at high $k_T$ : The BHS model

The helicity may flip at either of the vertices 1, 2 or 3.

– If the large  $k_T$  is generated at the flip vertex,  $A_N \propto m_q/k_T$ , where  $m_q$  is the current quark mass

– Flip at 1, large  $k_T$  at 2: Due to incoherence,  $A_N \propto \Lambda_{QCD}/k_T$ , from trigger bias (Sivers effect)

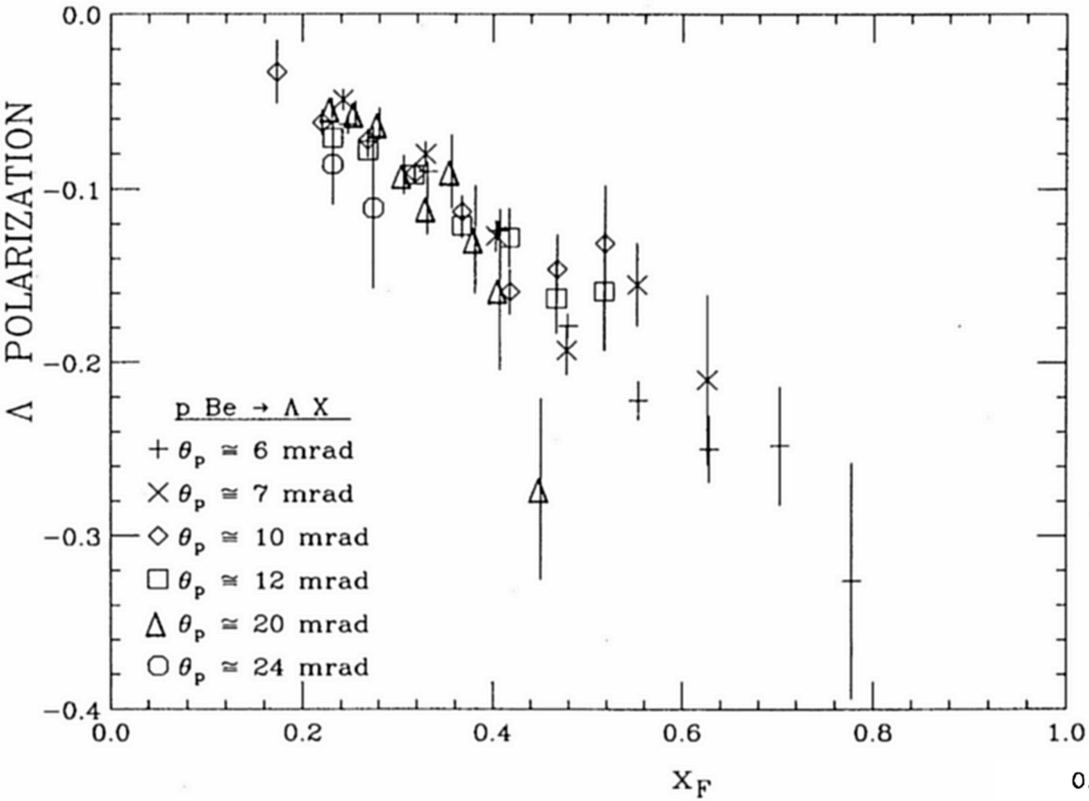
– Flip at 3, large  $k_T$  at 2:  $A_N \propto m_q/v$ , anomalous moment of bare quark is not formed (perturbatively) within coherence time. (This might possibly be upset due to QCD vacuum effects, see PH and M. Järvinen, JHEP 10 (2005) 080)



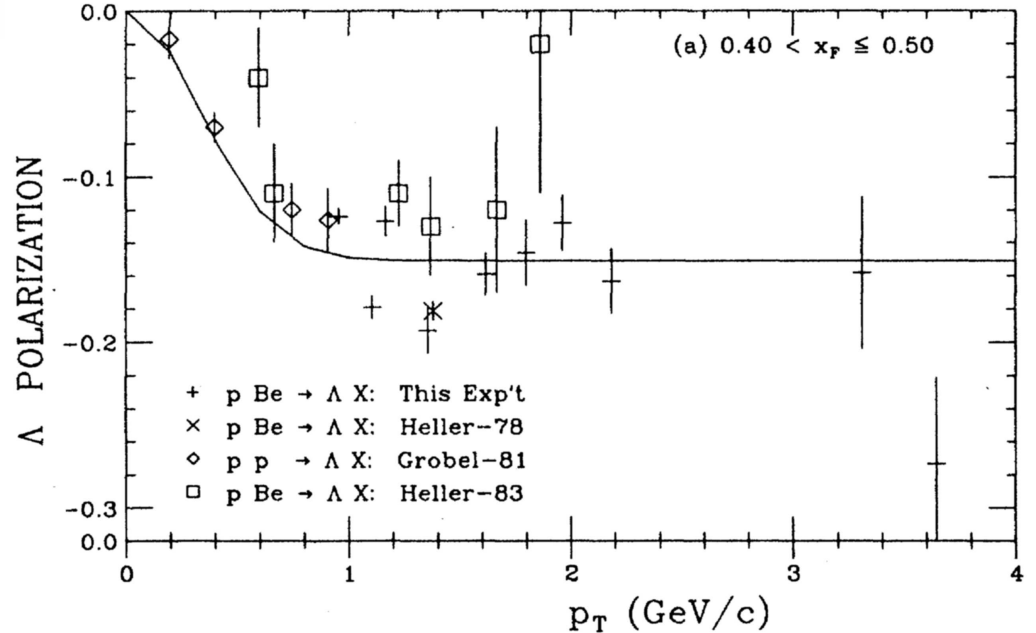
Similar arguments for  $p \uparrow p \rightarrow \pi(x_F, k_T) + X$  give

$A_N \propto \Lambda_{QCD}/k_T$  for  $k_T \rightarrow \infty$  at fixed  $x_F$  (twist-3 in the Bj limit).

$$p p \rightarrow \Lambda(x_F, k_{\perp}) + X$$



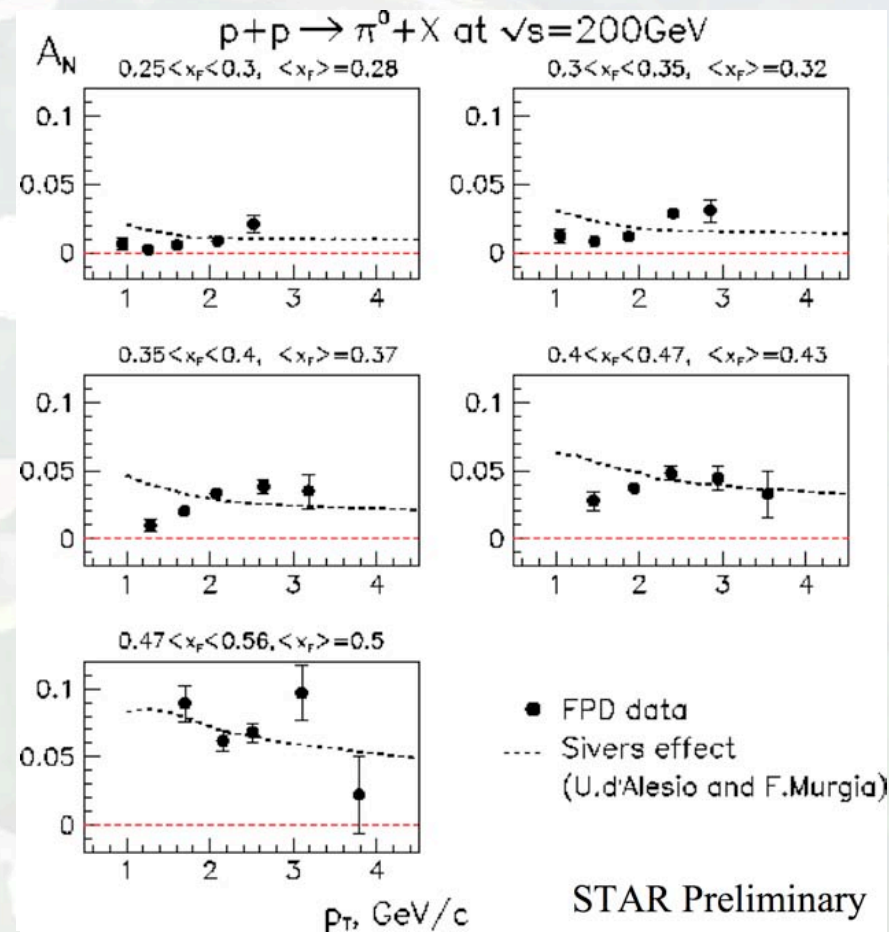
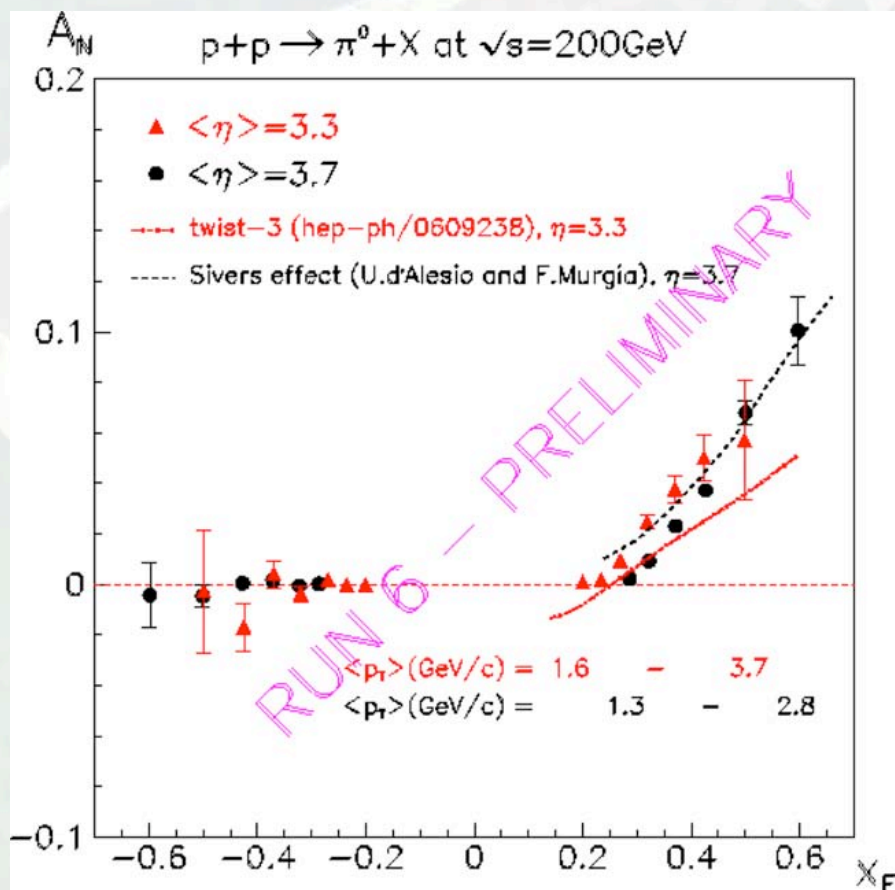
Lundberg et al., PRD 40 (1989) 3557





L. Nogach (IHEP-Protvino)

## ■ $A_N$ measurement as a function of $x_F$ and $p_T$

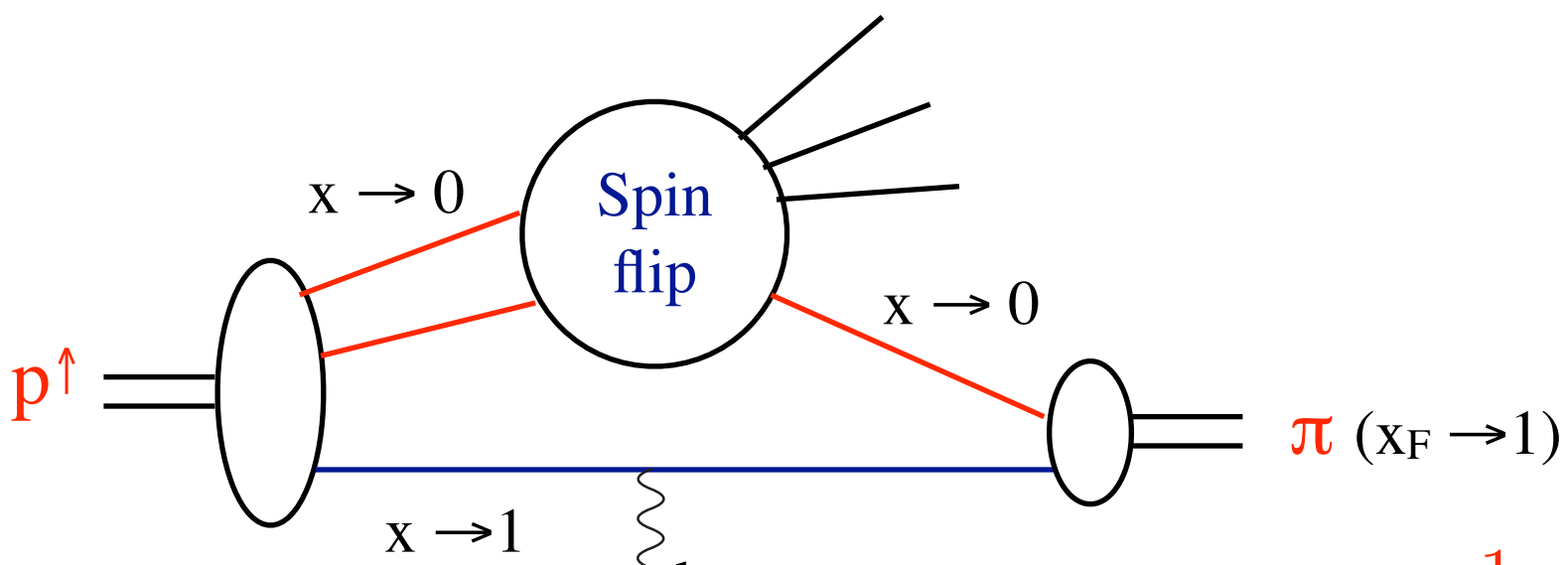


- Run 6 results consistent with previous results
- $A_N$  calculations (Sivers / Twist-3) inconsistent with precise  $x_F$  dependence of measured  $A_N$

- Measured  $A_N$  is not found to decrease in  $p_T$  in all  $x_F$  bins
- In contrast: Theoretical models predict  $A_N$  to decrease with  $p_T$

# SSA analysis at fixed $k_{\perp}^2(1-x_F)$

For  $k_{\perp} \rightarrow \infty$  at fixed  $k_{\perp}^2(1-x_F)$ : soft “spectator” interactions remain coherent with the hard process, enabling unsuppressed spin flip contributions and a helicity dependent phase, as required for  $A_N \neq 0$ .

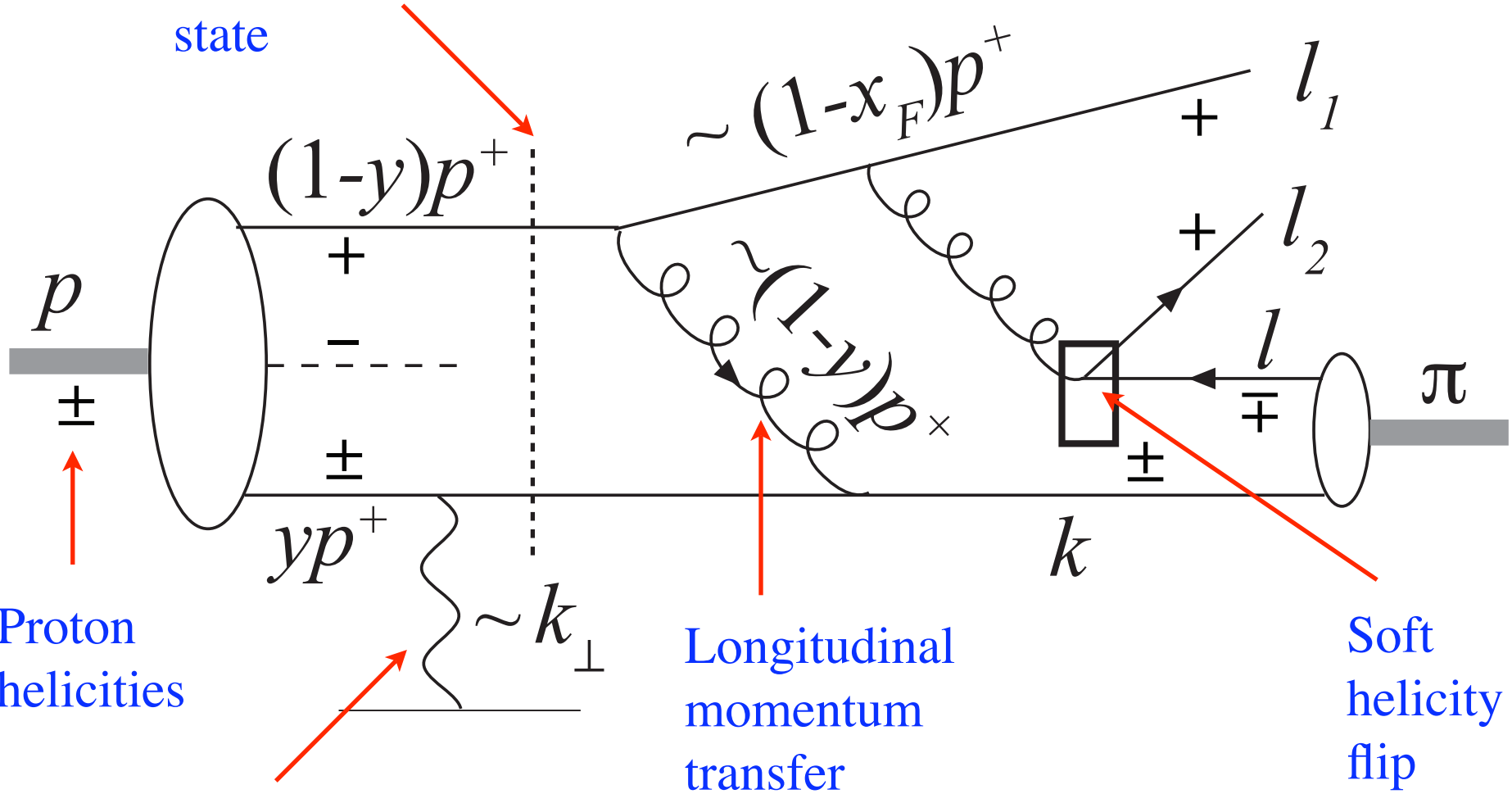


$$t_{soft} \simeq \frac{1}{\Lambda_{QCD}} \frac{(1-x_F)p^+}{\Lambda_{QCD}}$$

$$\simeq \frac{p^+}{k_{\perp}^2} \simeq \frac{1}{k_{\perp}} \frac{p^+}{k_{\perp}} \simeq t_{hard}$$

# A Model Demonstration

On-shell intermediate state



Proton helicities

Large transverse momentum

Longitudinal momentum transfer

Soft helicity flip

PH and M. Järvinen, JHEP 0702 (2007) 039

# Phase difference between flip and non-flip amplitudes

A non-vanishing SSA requires a phase difference  $\exp(i\theta)$  between the helicity flip and non-flip amplitudes. In the above Feynman diagram, after some simplifying assumptions,

$$\tan \theta = \frac{\sqrt{AB}}{A + 2B}, \quad \text{which vanishes if either } A/B \text{ or } B/A \rightarrow 0 \text{ and where}$$

$$A = \frac{\ell_{2\perp}^2 + M^2}{(1-w)(1-x)} + \frac{\ell_{\perp}^2 + M^2}{1-z}$$

$$B = k_{\perp}^2$$

This verifies that  $A_N \neq 0$  only in the BB limit:  $k_{\perp}^2(1-x) \sim \text{fixed}$



## Conclusions on SSA

The data suggest that the SSA dynamics of  $p \uparrow p \rightarrow \pi + X$  and  $pp \rightarrow \Lambda \uparrow + X$  **is distinct** from that of  $ep \uparrow \rightarrow \pi + X$  (SIDIS):

- A leading twist effect requires  $A_N \propto 1/k_{\perp}$
- $A_N$  in  $p \uparrow p$  at high  $x_F$  is  **$\sim 10$  times larger** than  $A_N$  in SIDIS

These features suggest a limit where  $k_{\perp}^2(1-x_F)$  is fixed as  $k_{\perp} \rightarrow \infty$

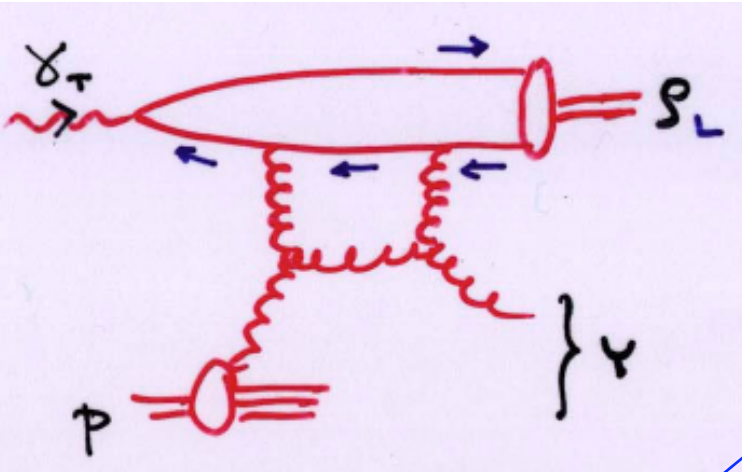
The SSA in  $p \uparrow p$  is an **edge-of-phase-space effect**

Cf. talk by G. Bunce

Via Bloom-Gilman duality, this dynamics is relevant also for hard exclusive processes

# Quark helicity flip in $\gamma p \rightarrow \rho Y$

Partonic subprocess in  
perturbative QCD:

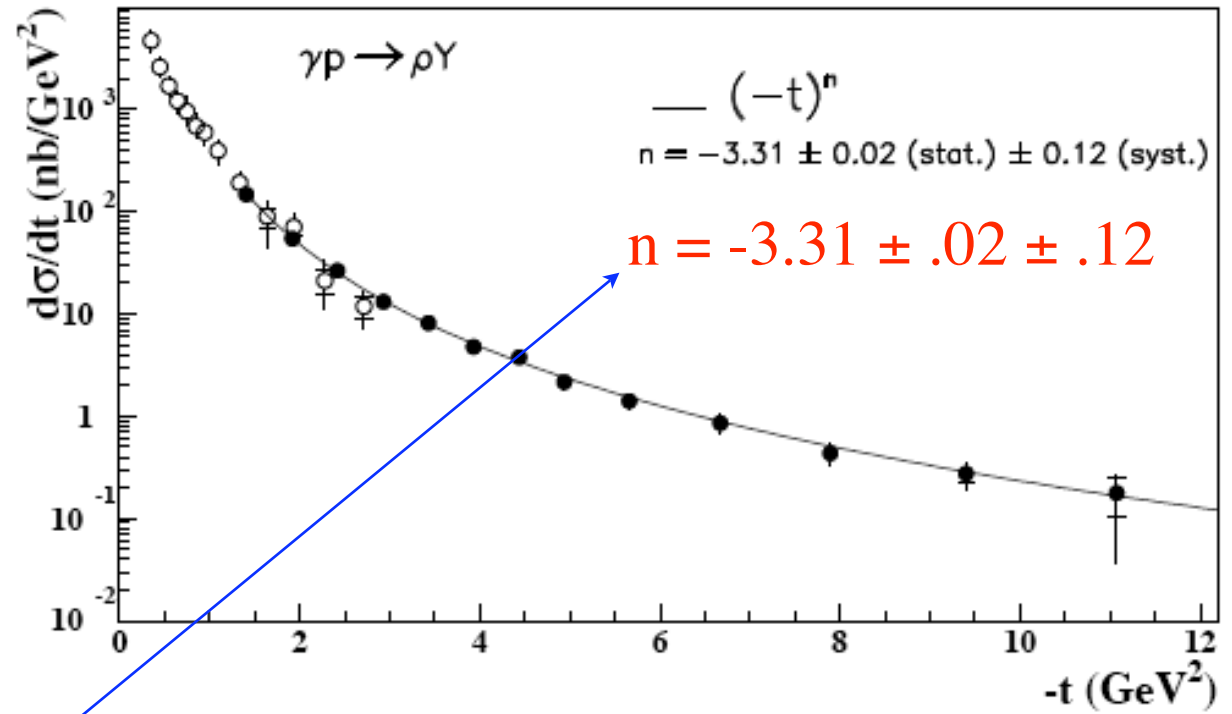


Expect:  $\frac{d\sigma}{dt} \propto \frac{1}{t^3}$

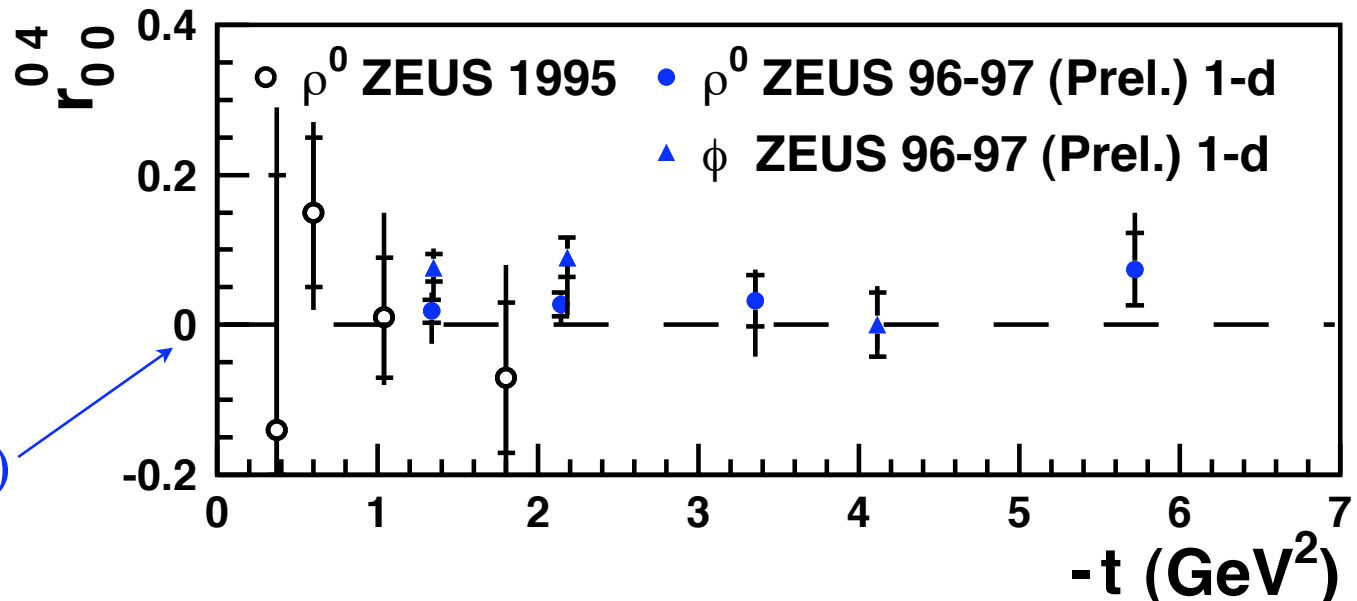
and  $\lambda_\rho = 0$

but find  $\lambda_\rho = \pm 1$  (SCHC)

ZEUS



$\gamma p \rightarrow \rho Y$  ZEUS  $r_{00}^{04} \propto |T(\lambda_\rho = 0)|^2$

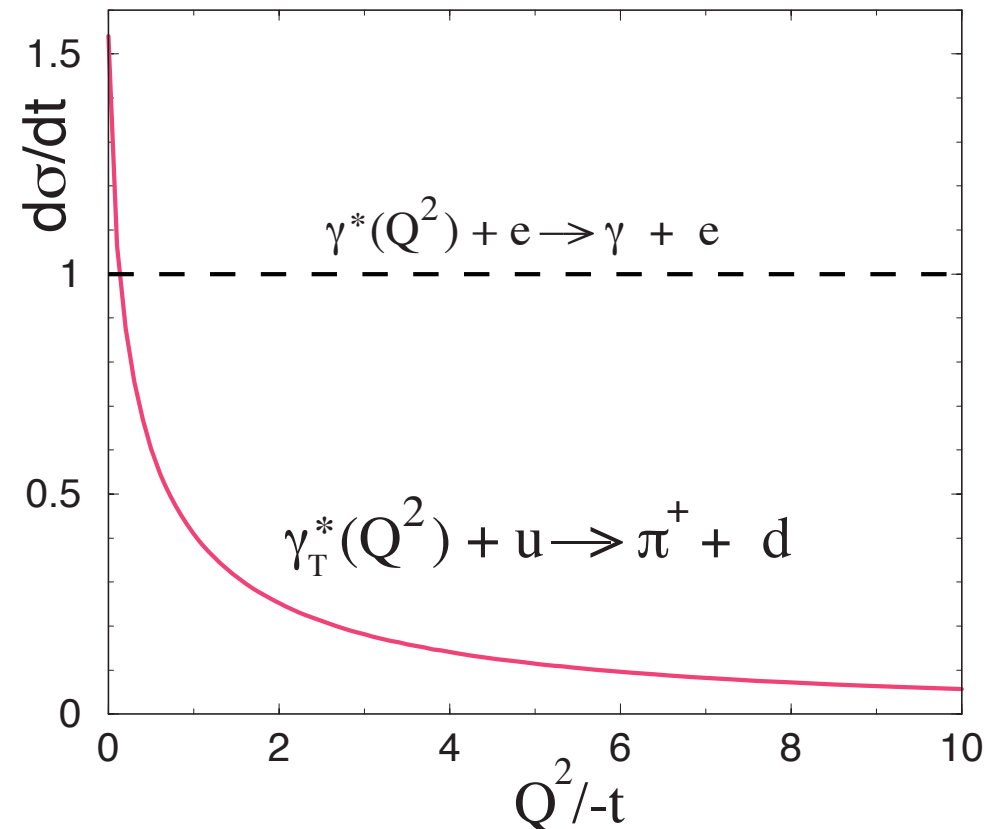
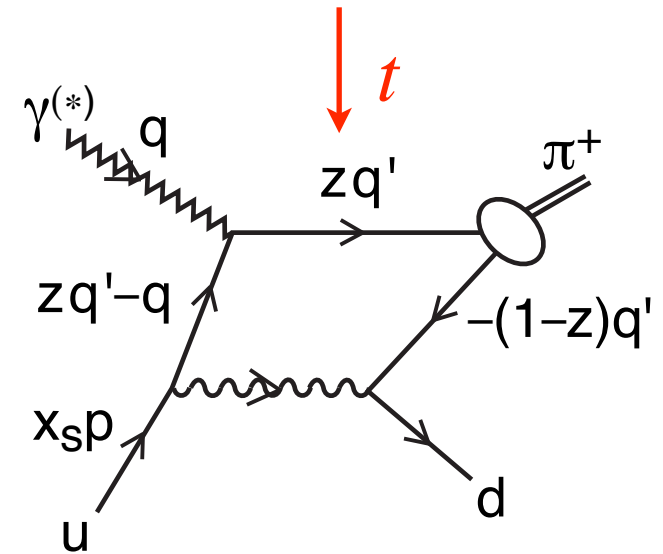


# Size of Perturbative Subprocesses at large $t$

The effective size of the perturbative photoproduction amplitude for  $\gamma + u \rightarrow \pi + d$  at large momentum transfer  $-t$  is measured by giving the photon a small virtuality  $Q^2$

The amplitude is very sensitive to  $Q^2$ , even for  $\varphi_\pi(x) = x(1-x)$

The singular behavior is due to the endpoints. More generally, **quark helicity flip** and **rescattering** enhance endpoint contributions



# Perspective: $Q^2(1-x)$ fixed?

Bloom-Gilman duality,  
FF Phenomenology,  
SSA in  $p \uparrow p \rightarrow \pi + X, \dots$

Suggest that endpoints ( $x \rightarrow 0, 1$ ) may be relevant for physical observables

The limit where  $Q^2(1-x)$  is held fixed as  $Q^2 \rightarrow \infty$  needs more attention:  
What can be said about **soft/hard factorization** in this limit?



“Spectators” and struck quark have similar  $p^-$ . Soft spectator interactions cannot be ignored

Form factors cannot be factorized into a product of hadron wave functions