

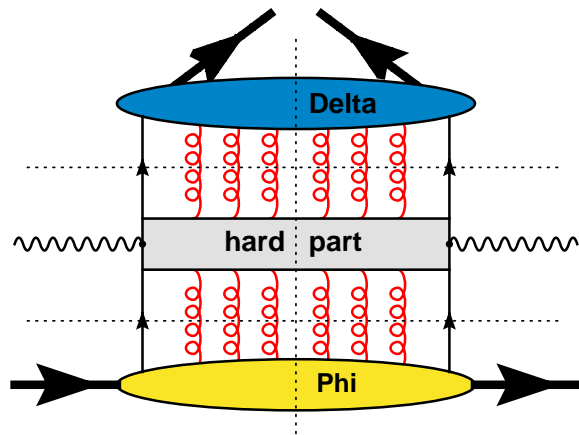
# SIDIS observables beyond leading twist

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## Partonic picture of semi-inclusive deep-inelastic scattering (SIDIS)



$$\sigma_{\text{SIDIS}} = \Phi \otimes (\text{hard}) \otimes \Delta$$

quark-quark correlators:

$$\Phi_{ij}(x, \vec{p}_T) = \int \frac{d\xi^- d^2\vec{\xi}_T}{(2\pi)^3} e^{ixP^+ \xi^- - i\vec{p}_T \cdot \vec{\xi}_T} \langle P | \bar{\psi}_j(0) \mathcal{W}[0|\xi] \psi_i(\xi) | P \rangle \Big|_{\xi^+ = 0}$$

$$\Delta_{ij}(z, \vec{k}_T) = \mathcal{FT} \sum_X \langle 0 | \mathcal{W}[\infty|\xi] \psi_i(\xi) | P_h; X \rangle \langle P_h; X | \bar{\psi}_j(0) \mathcal{W}[0|\infty] | 0 \rangle \Big|_{\xi^- = 0}$$

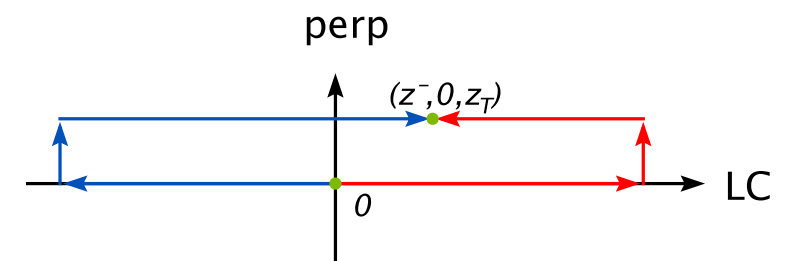
- $\Phi \longrightarrow p_T$ -dependent parton distributions.
- $\Delta \longrightarrow k_T$ -dependent fragmentation functions.

- Color gauge invariance:

Choice of the Wilson line: process dependent:

$$\mathcal{W}[0, z | \text{path}] = \mathcal{P} \exp \left\{ -ig \int_0^z ds_\mu A^\mu(s) \right\}$$

SIDIS, DY:  $p_T$ -dependence  
z-plane



## Transverse Momentum Dependent (TMD) Parton Distributions

- Twist-2 TMD parton distributions, parameterization of matrix elements,  $f = f(x, \vec{p}_T^2)$

$$\mathcal{FT} \left[ \langle P, S | \bar{\psi} \gamma^+ \mathcal{W} \psi | P, S \rangle \right] = f_1 - \frac{\epsilon_T^{ij} p_T^i S_T^j}{M} \underbrace{f_{1T}^\perp}_{\text{Sivers}}$$

$$\mathcal{FT} \left[ \langle P, S | \bar{\psi} \gamma^+ \gamma_5 \mathcal{W} \psi | P, S \rangle \right] = \lambda g_{1L} + \frac{\vec{p}_T \cdot \vec{S}_T}{M} g_{1T}$$

$$\mathcal{FT} \left[ \langle P, S | \bar{\psi} i\sigma^{i+} \gamma_5 \mathcal{W} \psi | P, S \rangle \right] = \underbrace{S_T^j \left( \delta^{ij} h_{1T} + \frac{p_T^i p_T^j}{M^2} h_{1T}^\perp \right)}_{\rightarrow \text{transversity } h_1(x, \vec{p}_T^2)} + \lambda \frac{p_T^i}{M} h_{1L}^\perp + \frac{\epsilon_T^{ij} p_T^j}{M} \underbrace{h_1^\perp}_{\text{Boer-Mulders}}$$

- *Time-reversal odd (T-odd)* PDFs  $f_{1T}^\perp, h_1^\perp \rightarrow$  Consequence of the gauge link.
- *Higher twist* parton distributions  $\rightarrow$  matrix elements with  $\gamma_\perp^i, \gamma_\perp^i \gamma_5, \mathbb{1}, \gamma_5, i\sigma^{+-} \gamma_5, i\sigma^{ij} \gamma_5$ , e.g.

$$\mathcal{FT} \left[ \langle P, S | \bar{\psi} \gamma_\perp^i \gamma_5 \mathcal{W} \psi | P, S \rangle \right] = \frac{M}{P^+} \left( S_T^i \underbrace{\left( \delta^{ij} g_T' + \frac{p_T^i p_T^j}{M^2} g_T^\perp \right)}_{\rightarrow g_T(x, \vec{p}_T^2)} + \lambda \frac{p_T^i}{M} g_L^\perp + \frac{\epsilon_T^{ij} p_T^j}{M} g^\perp \right)$$

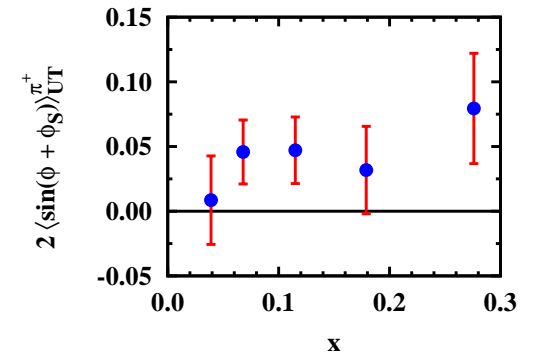
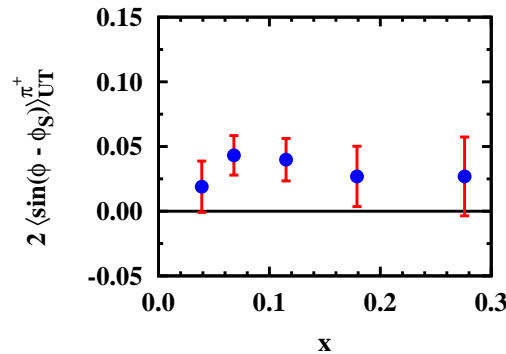
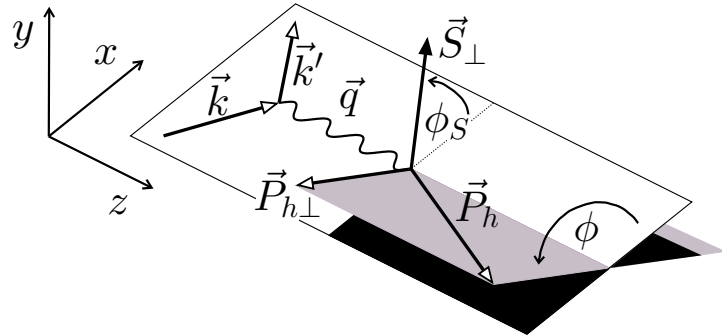
- 8 T-even, 8 T-odd twist-3 parton distributions.

# Single-Spin asymmetries $A = \frac{\sigma(\uparrow) - \sigma(\downarrow)}{\sigma(\uparrow) + \sigma(\downarrow)}$ in SIDIS

Twist-2 SSA for transversely pol. target:

$A_{UT}^{\text{Sivers}}$	$\propto$	$\sin(\phi_h - \phi_s) f_{1T}^\perp D_1$
$A_{UT}^{\text{Collins}}$	$\propto$	$\sin(\phi_h + \phi_s) h_1 H_1^\perp,$

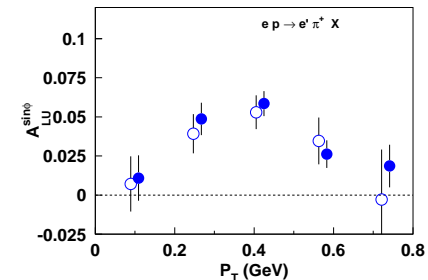
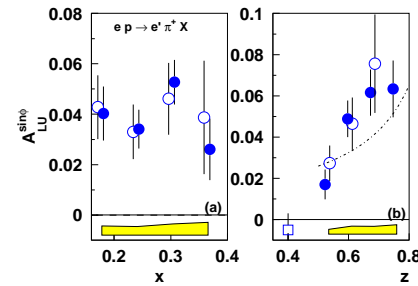
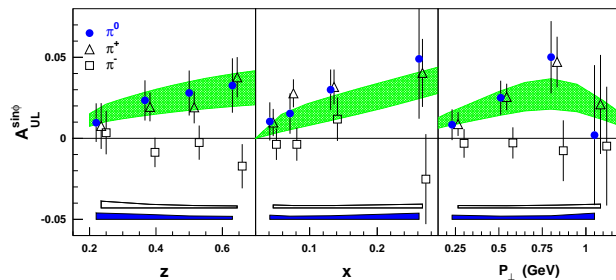
Experiments by HERMES (also by COMPASS):



Twist-3 SSAs for longitudinally pol. target and beam:

$A_{UL}^{\sin \phi}$  measured by HERMES (2001):

$A_{LU}^{\sin \phi}$  measured by CLAS (2003):



$\implies$  Twist-3 observables theoretically more complicated!

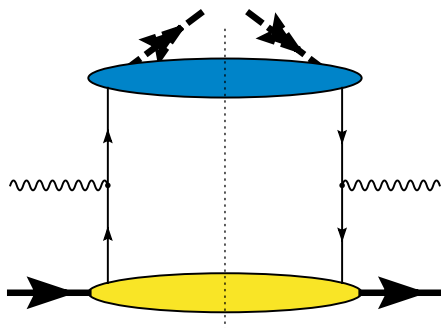
## "Tree-level" formalism of twist-3 observables

(Mulders, Tangerman, 1996; Boer, Mulders, Pijlman, 2003)

How to describe **subleading twist** observables?

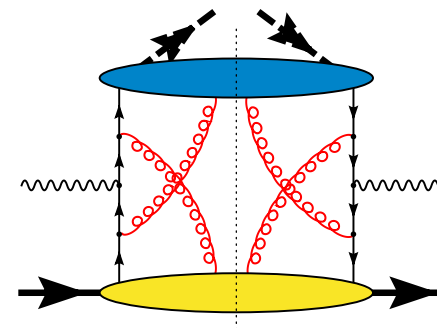
Contributions to twist-3 observables:

### 1. Twist-3 correlation functions:



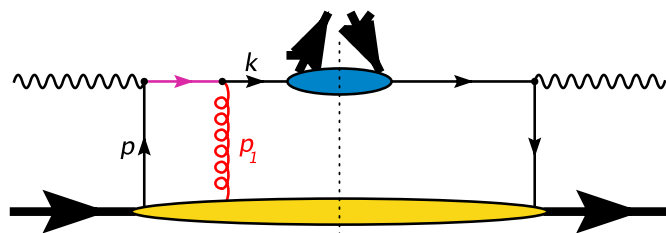
Contribution contains **twist-3 PDFs/FFs**

### 2. Genuine twist-3:



Quark-Gluon-Quark correlators

Treatment of  $qgq$ -Correlators:



$$\propto \int dp_1 \mathcal{FT} \left( \langle P, S | \bar{\psi}(0) \gamma^\mu \Delta \gamma_\rho \frac{\not{k} - \not{p}_1 + m}{(k - p_1)^2 - m^2 + i0} g A^\rho(\eta) \gamma^\nu \psi(\xi) | P, S \rangle \right)$$

1/Q-expansion of the propagator:

- $A^+$  ( $A_T^i(x^- = \infty)$ )-gluons  $\rightarrow$  Gauge Link
- Transverse  $A_T^i(x)$ -gluons  $\rightarrow$  Twist-3  $\rightarrow$  color g.i.  $qgq$ -corr.  $\Phi_A^i$

- Parameterization of  $qqq$ -correlator  $\Phi_A^i \propto \langle P | \bar{\psi} (\int \mathcal{W} F^{+i} \mathcal{W}) \psi | P \rangle$  in terms of *tilde*-functions, e.g.

$$\text{Tr} \left[ \Phi_{A,i} \sigma^{i+} \gamma_5 \right] = 2Mx \left( (\tilde{h}_L + i\tilde{e}_L) + \frac{\vec{p}_T \cdot \vec{S}_T}{M} (\tilde{h}_T + i\tilde{e}_T) \right), \dots$$

- Connection between *tilde*-functions and PDFs/FFs via QCD-equation of motion, e.g.

$$\tilde{h}_L = h_L + \frac{\vec{p}_T^2}{2M^2} \frac{h_{1L}^\perp}{x} - \frac{m}{M} \frac{g_{1L}}{x} \quad ; \quad \tilde{g}_T = g_T - \frac{\vec{p}_T^2}{2M^2} \frac{g_{1T}}{x} - \frac{m}{M} \frac{h_1}{x}, \dots$$

- Decomposition of SIDIS-cross section into 8 Twist-2 and 8 Twist-3 structure functions

$$\text{Twist-2: } F_{UU}, F_{UU}^{\cos 2\phi_h}, F_{UL}^{\sin 2\phi_h}, F_{LL}, F_{UT}^{\sin(\phi_h - \phi_s)}, F_{UT}^{\sin(\phi_h + \phi_s)}, F_{UT}^{\sin(3\phi_h - \phi_s)}, F_{LT}^{\cos(\phi_h - \phi_s)}$$

$$\text{Twist-3: } F_{UU}^{\cos \phi_h}, F_{LU}^{\sin \phi_h}, F_{UL}^{\sin \phi_h}, F_{LL}^{\cos \phi_h}, F_{UT}^{\sin \phi_s}, F_{UT}^{\sin(2\phi_h - \phi_s)}, F_{LT}^{\cos \phi_h}, F_{LT}^{\cos(2\phi_h - \phi_s)}$$

- Structure functions in terms of PDFs and FFs, e.g. twist-3 *beam-spin asymmetry* (Mulders, Tangerman, 1996; Boer, Mulders, 1998; Bacchetta, Mulders, Pijlman, 2004; Bacchetta, Diehl, Goeke, Metz, Mulders, M.S., 2006)

$$F_{LU}^{\sin \phi_h} \propto \frac{M}{Q} \left[ e \otimes H_1^\perp + f_1 \otimes \tilde{G}^\perp + g^\perp \otimes D_1 + h_1^\perp \otimes \tilde{E} \right], \text{ and many more...}$$

- Semi-inclusive jet production: jet single-spin asymmetries (Bacchetta, Mulders, Pijlman, 2004)

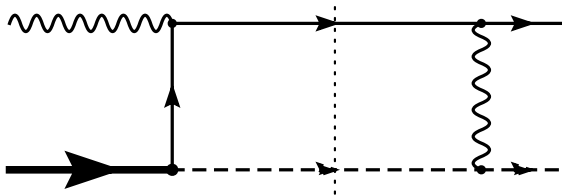
$$\boxed{A_{LU, \text{jet}}^{\sin \phi_h} \propto \frac{M}{Q} \frac{g^\perp(1)(x)}{f_1(x)}} \quad ; \quad \boxed{A_{UL, \text{jet}}^{\sin \phi_h} \propto \frac{M}{Q} \frac{f_L^\perp(1)(x)}{f_1(x)}}$$

## Longitudinal jet-SSAs in the scalar diquark-spectator-model:

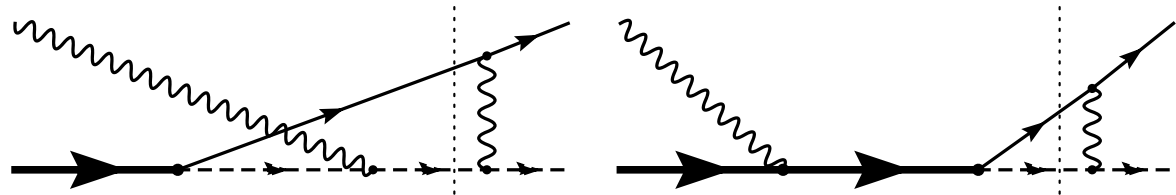
(Afanasev, Carlson, 2003, 2006; Metz, M.S., 2004)

- Left hand side: Direct calculation of jet asymmetries  $A_{LU} \propto g^{\perp(1)}(x)$ ,  $A_{UL} \propto f_L^{\perp(1)}(x)$

Rescattering effect:

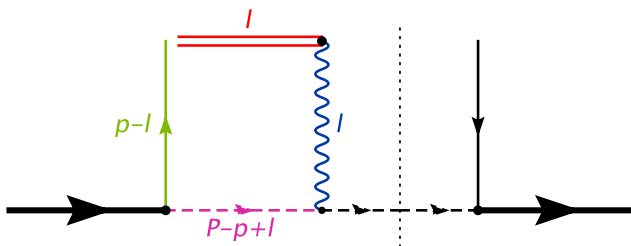


Other diagrams containing final state interactions:



⇒ Result: Non-vanishing, *finite* asymmetries  $A_{UL} \neq 0$ ,  $A_{LU} \neq 0$ .

- Right hand side: T-odd twist-3 parton distributions in the scalar diquark model  
(Gamberg, Hwang, Metz, M.S., 2006)



$$+ \text{h.c.} \quad g^{\perp} \propto \int \frac{d^4 l}{(2\pi)^4} \frac{n \cdot (2P - 2p + l) \left[ \epsilon_T^{ij} p_T^j \left( P^+ l^- - P^- l^+ \right) + \epsilon_T^{ij} l_T^j \left( P^- p^+ - P^+ p^- \right) \right]}{[(l \cdot n) + i0] [l^2 - i0] [(P - p + l)^2 - m_S^2 - i0] [(p - l)^2 - m_q^2 - i0]}$$

For  $n = [1^-, 0^+, \vec{0}_T]$  on the light-cone → Divergence!

- Regularization: “non lightlike” Wilson lines:  $n = [n^-, n^+, \vec{0}_T], \left| \frac{n^+}{n^-} \right| \ll 1$

$$g^\perp(x, \vec{p}_T^2, n) \propto \frac{1-x}{x} \ln \left( \frac{n^2}{2(n \cdot P)^2} \right) + \text{finite} + \mathcal{O} \left( \left| \frac{n^+}{n^-} \right| \right)$$

- Shows **LC-divergence** explicitly  
→ same divergence for **all T-odd twist-3 PDFs**, also in quark-target-model.
- Finite Box-graph contributions for twist-2 T-odd PDFs  $f_{1T}^\perp, h_1^\perp$ .
- Regularization procedure: “Tree-level” predictions ( $A_{LU} = \text{fin.}$ )  $\propto (g^\perp \rightarrow \infty)$ ,  
( $A_{UL} = \text{fin.}$ )  $\propto (f_L^\perp \rightarrow \infty) \Rightarrow$  Modification!?
- Factorization theorem for twist-2 observables: (Ji, Ma, Yuan, 2004)

$$\frac{d\sigma_{UU}}{dx_B dy dz_h d^2 P_{h\perp}} \propto \int d^2 p_T d^2 k_T \left( \int d^2 l_T S(\vec{l}_T) \delta^{(2)}(\vec{p}_T - \frac{\vec{P}_{h\perp}}{z_h} - \vec{k}_T + \vec{l}_T) \right) f_1(x_B, \vec{p}_T^2) D_1(z_h, \vec{k}_T^2) + \dots$$

Soft factor  $S(\vec{l}_T)$  due to soft gluon radiation → modifies  $\delta$ -function.

- PDFs/FFs: “non light-like” Wilson lines → “non-light-likeness” parameter  $\zeta = \sqrt{\frac{2(P \cdot n)^2}{n^2}}$
- Generalization of “**all-order factorization**” for twist-3 observables possible?



## Two-Photon Exchange (DIS)

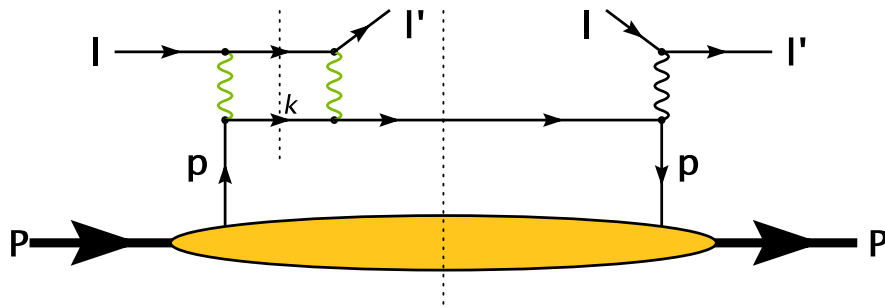
- Inclusive DIS:

Four structure functions in the Bjorken limit,  $F_1, F_2, g_1, g_2$

Single-spin asymmetries in One-Photon Exchange forbidden, **T-invariance** (Christ, Lee, 1966)

→ beyond One-Photon Exchange: Correlation  $\sim \epsilon^{\mu\nu\rho\sigma} l_\mu l'_\nu P_\rho S_\sigma$  allowed.

- Parton model calculation (Metz, MS, Goeke, 2006):



1) collinear parton model:  $p^+ = xP^+$ , **no**  $p_T$

2) photons couple to the **same** parton.

3) SSA → imaginary part of box diagram

- SSA for transversely polarized lepton: leading twist-PDF  $f_1$ , IR-finite

$$\text{SSA} \sim \alpha_{\text{em}}^3 m \sin(\phi_s) \sum_q e_q^3 x f_1^{(q)}(x)$$

proportional to lepton mass,  $e^-$  :  $\text{SSA} \sim 10^{-7} - 10^{-6} \rightarrow$  DIS with myons at COMPASS?

- SSA for transversely polarized nucleon: twist-3, IR-divergences

$$\text{SSA} \sim \alpha_{\text{em}}^3 M \sin(\phi_s) \left( (1-y)^2 \ln \left( \frac{Q^2}{\lambda^2} \right) + y(2-y) \ln y + y(1-y) \right) \sum_q e_q^3 x g_T^{(q)}(x)$$

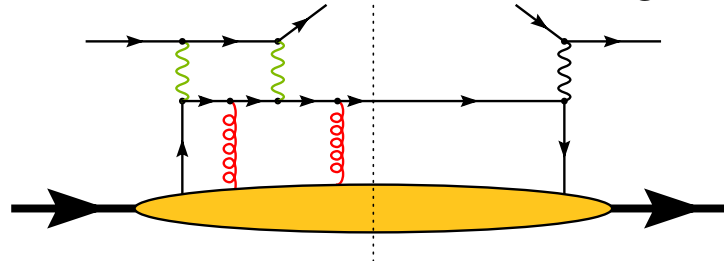
proportional to nucleon mass.

- How to cancel the IR-divergence?

1) Include transverse parton momentum  $p_T$   $\longrightarrow$  TMD parton distributions

$$\text{SSA} \sim \alpha_{\text{em}}^3 M \sin(\phi_s) \ln \left( \frac{Q^2}{\lambda^2} \right) \sum_q e_q^3 \int d^2 p_T \underbrace{\left( x g_T - \frac{\vec{p}_T^2}{2M^2} g_{1T} - \frac{m_q}{M} h_1 \right)}_{=x\tilde{g}_T(x, \vec{p}_T^2)} + \text{finite}$$

2) tilde function from Quark-Gluon-Quark correlator  $\longrightarrow$  might cancel IR-divergence (?)



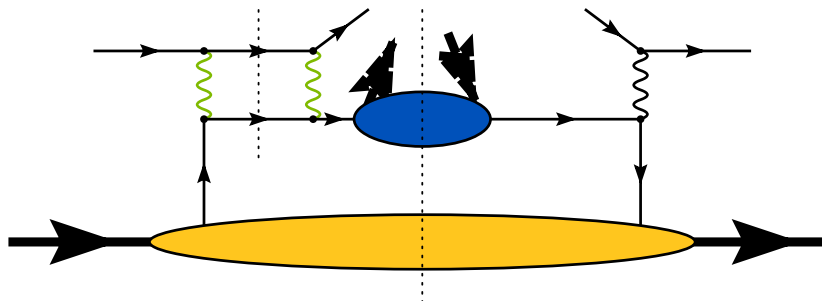
3) if not sufficient  $\longrightarrow$  coupling to different parton necessary?

Still more work to do...

## Two-Photon Exchange (SIDIS)

Two Photon Exchange effects also in Semi-Inclusive DIS?

- Inclusion of fragmentation:



- TPE enables  $\sin(2\phi)$  beam spin asymmetry  $\longrightarrow$  *Boer-Mulders* effect, *leading twist* SSA

$$A_{LU}^{\sin(2\phi)} \propto \alpha_{\text{em}}^3 \lambda_e \sin(2\phi) \sum_q e_q^3 \left[ h_1^{\perp,(q)} \otimes H_1^{\perp,(q)} \right]$$

$\longrightarrow$  Contribution *absent* for One Photon Exchange, *IR-finite!*

- Single-spin asymmetries for target polarization: transverse and longitudinal

$\longrightarrow$  e.m. corrections to twist-3 asymmetries  $A_{UT}^{\sin(2\phi-\phi_s)}$ ,  $A_{UT}^{\sin(\phi_s)}$  and  $A_{UL}^{\sin(\phi)}$

$\longrightarrow$  IR-divergent asymmetries  $\longrightarrow$  *QCD-equ. of motion*: SSA in terms of tilde functions

$\longrightarrow$  Inclusion of add. *gluon exchanges* cancels IR-divergences?

## Summary & Conclusions

### ✘ Analysis of subleading twist observables in SIDIS:

“Tree-level” formalism: Central objects: Quark-Quark Correlators  $\Phi_{ij}(x, \vec{p}_T)$  und  $\Delta_{ij}(z, \vec{k}_T)$   
 → Elimination of  $ggq$ -correlations (tilde functions) via QCD-equation of motion  
 → **complete determination** of the twist-3 observables in terms (higher twist) PDFs and FFs

Calculation of twist-3 jet asymmetries  $A_{UL}$  and  $A_{LU}$  in a scalar diquark-spectator-model.  
 → (IR and collinear) finite results, **Light-cone divergences** for twist-3 T-odd PDFs  
 → **Modification** of existing twist-3 predictions needed.

### ✘ Two-Photon Exchange:

**Inclusive DIS:** Transverse Spin asymmetries allowed beyond One-Photon Exchange.

→ collinear parton model: finite result for transversely pol. lepton, IR-divergent result for transverse pol. target.  
 → inclusion of QGQ-correlations might cancel IR-divergences.

**SIDIS:** TPE generates new observables

→  **$\sin(2\phi)$  beam-spin asymmetry:** finite SSA, Boer-Mulders effect.  
 → **Target-Spin asymmetries:** contributions of TPE to twist-3 observables only, IR-divergent.