Spin and TMD azimuthal asymmetries in SIDIS

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Polarized SIDIS cross-section

- Azimuthal asymmetries
- Parton model for CFR
 - Quark-Diquark model for DFs
- **#** TFR
- Conclusions

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General expression of polarized SIDIS cross-section (1)



Using current conservation + parity conservation + hermiticity one can show that 18 independent Structure Functions describe one particle SIDIS. Moreover, the dependences on azimuthal angle of produced hadron and of the target nucleon polarization were calculated explicitly and factorized

General expression of polarized SIDIS cross-section (2)

Bacchetta, Diehl, Goeke, Metz, Mulders and Schlegel JHEP 0702:093,2007 $\frac{1}{dx \, dy \, d\psi \, dz \, d\phi_h \, dP_{h\perp}^2} =$ $\frac{\alpha^2}{xyQ^2} \frac{y^2}{2(1-\varepsilon)} \left(1 + \frac{\gamma^2}{2x}\right) \left\{ F_{UU,T} + \varepsilon F_{UU,L} + \sqrt{2\varepsilon(1+\varepsilon)} \cos \phi_h F_{UU}^{\cos \phi_h} \right\}$ $+ \varepsilon \cos(2\phi_h) F_{UU}^{\cos 2\phi_h} + P_{beam} \sqrt{2 \varepsilon (1-\varepsilon)} \sin \phi_h F_{LU}^{\sin \phi_h}$ + $P_L \left[\sqrt{2 \varepsilon (1+\varepsilon)} \sin \phi_h F_{UL}^{\sin \phi_h} + \varepsilon \sin(2\phi_h) F_{UL}^{\sin 2\phi_h} \right]$ + $P_L P_{beam} \left[\sqrt{1 - \varepsilon^2} F_{LL} + \sqrt{2 \varepsilon (1 - \varepsilon)} \cos \phi_h F_{LL}^{\cos \phi_h} \right]$ + $|\boldsymbol{P}_{T}| \sin(\phi_{h} - \phi_{S}) \left(F_{UT,T}^{\sin(\phi_{h} - \phi_{S})} + \varepsilon F_{UT,L}^{\sin(\phi_{h} - \phi_{S})} \right)$ + $\varepsilon \sin(\phi_h + \phi_S) F_{UT}^{\sin(\phi_h + \phi_S)} + \varepsilon \sin(3\phi_h - \phi_S) F_{UT}^{\sin(3\phi_h - \phi_S)}$ $+\sqrt{2\varepsilon(1+\varepsilon)}\sin\phi_{S}F_{UT}^{\sin\phi_{S}}+\sqrt{2\varepsilon(1+\varepsilon)}\sin(2\phi_{h}-\phi_{S})F_{UT}^{\sin(2\phi_{h}-\phi_{S})}$ + $|\mathbf{P}_T| P_{beam} \left| \sqrt{1 - \varepsilon^2} \cos(\phi_h - \phi_S) F_{LT}^{\cos(\phi_h - \phi_S)} + \sqrt{2 \varepsilon (1 - \varepsilon)} \cos \phi_S F_{LT}^{\cos \phi_S} \right|$ $+\sqrt{2\varepsilon(1-\varepsilon)}\cos(2\phi_h-\phi_S)F_{LT}^{\cos(2\phi_h-\phi_S)}\bigg|\bigg\},$ **Trento, June 14, 2007**

$$\varepsilon = \frac{1 - y - \frac{1}{4}y^2\gamma^2}{1 - y + \frac{1}{2}y^2 + \frac{1}{4}y^2\gamma^2}$$
$$\gamma = 2x_{\rm B}M_p/Q$$

This is a general expression which is also valid for exclusive reactions and for entire phase space of SIDIS (TFR, CFR)

Azimuthal modulations:

2 polarization independent
1 single beam polarization dependent
2 single target longitudinal polarization dependent
1 double beam + target longitudinal polarization dependent
5 single target transverse polarization dependent
3 double beam + target transverse polarization dependent

Measured Structure Functions and Asymmetries



Parton model for SIDIS in CFR

$$d\sigma^{l+N \to l'+h+X} \propto DF \otimes d\sigma^{l+q \to l'+q'} \otimes FF$$

At twist-two

$$\begin{aligned} \mathcal{P}_{N}^{q}(x,\mathbf{k}_{T}) &= f_{1}^{q}(x,k_{T}^{2}) + f_{1T}^{\perp q}(x,k_{T}^{2}) \frac{[\mathbf{k}_{T} \times \hat{\mathbf{P}}_{N}] \cdot S_{T}^{N}}{M}, \\ f_{1}^{q}(x,k_{T}^{2}) s_{L}^{q}(x,\mathbf{k}_{T}) &= g_{1L}^{q}(x,k_{T}^{2}) \lambda_{N} + g_{1T}^{\perp q}(x,k_{T}^{2}) \frac{\mathbf{k}_{T} \cdot \mathbf{S}_{T}^{N}}{M}, \\ f_{1}^{q}(x,k_{T}^{2}) \mathbf{s}_{T}^{q}(x,\mathbf{k}_{T}) &= h_{1T}^{q}(x,k_{T}^{2}) \mathbf{S}_{T}^{N} + [h_{1L}^{\perp q}(x,k_{T}^{2}) \lambda_{N} + h_{1T}^{\perp q}(x,k_{T}^{2}) \frac{\mathbf{k}_{T} \cdot \mathbf{S}_{T}^{N}}{M}] \frac{\mathbf{k}_{T}}{M} + h_{1}^{\perp q}(x,k_{T}^{2}) \frac{[\mathbf{k}_{T} \times \hat{\mathbf{P}}_{N}]}{M} \\ \text{Often used:} \quad h_{1}^{q}(x,k_{T}^{2}) &= h_{1T}^{q}(x,k_{T}^{2}) + \frac{k_{T}^{2}}{2M^{2}} h_{1T}^{\perp q}(x,k_{T}^{2}) \\ \mathcal{P}_{q}^{h}(z,\mathbf{P}_{Tq}^{h}) &= D_{q}^{h}(z,P_{Tq}^{h}) + H_{1q}^{\perp h}(z,P_{Tq}^{h}) \frac{[\mathbf{P}_{Tq}^{h} \times \hat{\mathbf{k}}'] \cdot \mathbf{s}_{T}'}{M} = D_{q}^{h}(z,P_{Tq}^{h}) + s_{T}' \frac{P_{Tq}^{h}}{M} H_{1q}^{\perp h}(z,P_{Tq}^{h}) \sin(\phi_{Collins}) \end{aligned}$$

A. Bacchetta, M. Boglione, A. Henneman and P. J. Mulders, Phys. Rev. Lett. 85, 712 (2000)

$$\frac{\mathbf{k}_T^2}{M^2} \left(g_{1T}^q(x,k_T^2) \right)^2 + \frac{\mathbf{k}_T^2}{M^2} \left(f_1^{q\perp}(x,k_T^2) \right)^2 \le \left(f_1^q(x,k_T^2) \right)^2 - \left(g_{1L}^q(x,k_T^2) \right)^2$$

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Twist-two contributions



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Orbital momentum and g_{1L}

Model by Brodsky, Hwang, Ma & Schmidt, NPB 593 (2001) 311 Spin decomposition of the $J^z = +\frac{1}{2}$ electron

Configuration	Fermion spin s_{f}^{z}	Boson spin s_b^z	Orbital ang. mom. l^z
$\left +\frac{1}{2}\right\rangle \rightarrow \left +\frac{1}{2}+1\right\rangle$	$+\frac{1}{2}$	+1	-1
$\left +\frac{1}{2}\right\rangle \rightarrow \left -\frac{1}{2}+1\right\rangle$	$-\frac{1}{2}$	+1	0
$\left +\frac{1}{2}\right\rangle \rightarrow \left +\frac{1}{2}-1\right\rangle$	$+\frac{1}{2}$	-1	+1
$g_{1L}(x,k_T^2)_{\text{spin-1 diquark}} \propto \left[\frac{k_T^2}{x^2(1-x)^2} + \frac{k_T^2}{(1-x)^2} - \left(M - \frac{m}{x}\right)^2\right] \varphi ^2$			
Spin decomposition of the $J^{z} = +\frac{1}{2}$ fermion in Yukawa theory			
Configuration	Fermion spin s_{f}^{z}	Boson spin s_b^z	Orbital ang. mom. l^z
$\left +\frac{1}{2}\right\rangle \rightarrow \left +\frac{1}{2}\right\rangle$	$+\frac{1}{2}$	0	0
$\left +\frac{1}{2}\right\rangle \rightarrow \left -\frac{1}{2}\right\rangle$	$-\frac{1}{2}$	0	+1
		$\langle \rangle^2$	12

$$g_{1L}(x,k_T^2)_{\text{spin-0 diquark}} \propto \left[\left(M + \frac{m}{x}\right)^2 - \frac{k_T^2}{x^2}\right] \left|\varphi\right|^2$$

Quark-Diquark model

R. Jakob, P. Mulders & Rodrigues NP A626, 937 (1997)



Choose exponential form-factor:

$$N\frac{k^2-m^2}{\left|k^2-\Lambda^2\right|^{\alpha}} \Longrightarrow N'(k^2-m^2)\exp(\frac{k^2}{2\Lambda^2})$$

$$f_{1}(x,k_{T}^{2}) = q_{+}(x,k_{T}^{2}) + q_{-}(x,k_{T}^{2}) = f_{0}(x) \Big[(xM+m)^{2} + k_{T}^{2} \Big] \exp\left(-\frac{k_{T}^{2}}{(1-x)\Lambda^{2}}\right)$$
$$g_{1L}(x,k_{T}^{2}) = q_{+}(x,k_{T}^{2}) - q_{-}(x,k_{T}^{2}) = a_{R}f_{0}(x) \Big[(xM+m)^{2} - k_{T}^{2} \Big] \exp\left(-\frac{k_{T}^{2}}{(1-x)\Lambda^{2}}\right)$$

$$f_{0}(x) = \frac{N^{2}}{(1-x)} \exp\left(-\frac{x(1-x)M^{2} - xM_{R}^{2}}{(1-x)\Lambda^{2}}\right) \quad q_{+}(x,k_{T}^{2}) = \frac{1}{2} f_{0}(x)(xM+m)^{2} \exp\left(-\frac{k_{T}^{2}}{(1-x)\Lambda^{2}}\right)$$

No x-k_T factorization!
$$q_{-}(x,k_{T}^{2}) = \frac{1}{2} f_{0}(x)k_{T}^{2} \exp\left(-\frac{k_{T}^{2}}{(1-x)\Lambda^{2}}\right)$$



Interpretation of target transverse spin asymmetries



$$A_{LT}^{\cos(\varphi_h-\varphi_s)} \propto g_{1T}^q \otimes D_{1q}^h \qquad A_{UT}^{\sin(3\varphi_h-\varphi_s)} \propto h_{1T}^{\perp q} \otimes H_{1q}^{\perp h}$$



$A_{LT}^{\cos(\varphi_h - \varphi_s)}$ in quark-diquark model

$$A_{LT}^{\cos(\varphi_h-\varphi_s)} \propto g_{1T}^q \otimes D_{1q}^h$$

$$g_{1T}^{q}(x,k_{T}^{2}) = 2a_{R}f_{0}(x)M(xM+m)\exp\left(-\frac{k_{T}^{2}}{(1-x)\Lambda^{2}}\right)$$
$$f_{0}(x) = \frac{N^{2}}{(1-x)}\exp\left(-\frac{x(1-x)M^{2}-xM_{R}^{2}}{(1-x)\Lambda^{2}}\right)$$







$$A_{UT}^{\sin(3\varphi_h - \varphi_s)} \propto h_{1T}^{\perp q} \otimes H_{1q}^{\perp h}$$

$$h_{1T}^{\perp q}(x, k_T^2) = -2a_R f_0(x) M^2 \exp(-\frac{k_T^2}{(1 - x)\Lambda^2})$$

$$f_0(x) = \frac{N^2}{(1 - x)} \exp\left(-\frac{x(1 - x)M^2 - xM_R^2}{(1 - x)\Lambda^2}\right)$$



from Anselmino et al. global analysis

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 $A_{UT}^{\sin(3\varphi_h-\varphi_s)}$ @ COMPASS



"Twist-three" Structure Functions

• Conan Doyle, *a Scandal in Bohemia*

- "I have no data yet. It is a capital mistake to theorize before one has data. Insensibly one begins to twist facts to suit theories, instead of theories to suit facts."
- There is no reasons do not extract "twist-three" structure functions!

Subleading twist (from paper (2))

$$\begin{split} F_{UU}^{\cos\phi_{h}} &= \frac{2M}{Q} \mathcal{C} \Big[-\frac{\hat{h} \cdot k_{T}}{M_{h}} \Big(xh H_{1}^{\perp} + \frac{M_{h}}{M} f_{1} \frac{\tilde{D}^{\perp}}{z} \Big) - \frac{\hat{h} \cdot p_{T}}{M} \Big(xf^{\perp} D_{1} + \frac{M_{h}}{M} h_{1}^{\perp} \frac{\tilde{H}}{z} \Big) \Big] \\ F_{UU}^{\cos\phi_{h}} &\approx \frac{2M}{Q} \mathcal{C} \left[-\frac{\hat{h} \cdot p_{T}}{M} f_{1} D_{1} \right] \\ \\ F_{UT}^{\sin(\phi_{h} - \phi_{S})} &= 0, \\ F_{UT}^{\sin\phi_{S}} &= \frac{2M}{Q} \mathcal{C} \Big\{ \Big(xf_{T} D_{1} - \frac{M_{h}}{M} h_{1} \frac{\tilde{H}}{z} \Big) \\ &- \frac{k_{T} \cdot p_{T}}{2MM_{h}} \Big[\Big(xh_{T} H_{1}^{\perp} + \frac{M_{h}}{M} g_{1T} \frac{\tilde{G}^{\perp}}{z} \Big) - \Big(xh_{T}^{\perp} H_{1}^{\perp} - \frac{M_{h}}{M} f_{1T}^{\perp} \frac{\tilde{D}^{\perp}}{z} \Big) \Big] \Big\}, \\ F_{UT}^{\sin(2\phi_{h} - \phi_{S})} &= \frac{2M}{Q} \mathcal{C} \Big\{ \frac{2(\hat{h} \cdot p_{T})^{2} - p_{T}^{2}}{2M^{2}} \Big(xf_{T}^{\perp} D_{1} - \frac{M_{h}}{M} h_{1}^{\perp} \frac{\tilde{H}}{z} \Big) \\ &- \frac{2(\hat{h} \cdot k_{T}) (\hat{h} \cdot p_{T}) - k_{T} \cdot p_{T}}{2MM_{h}} \Big[\Big(xh_{T} H_{1}^{\perp} + \frac{M_{h}}{M} g_{1T} \frac{\tilde{G}^{\perp}}{z} \Big) \\ &+ \Big(xh_{T}^{\perp} H_{1}^{\perp} - \frac{M_{h}}{M} f_{1}^{\perp} \frac{\tilde{D}^{\perp}}{z} \Big) \Big] \Big\}, \\ F_{LT}^{\cos\phi_{S}} &= \frac{2M}{Q} \mathcal{C} \Big\{ - \Big(xg_{T} D_{1} + \frac{M_{h}}{M} h_{1} \frac{\tilde{E}}{z} \Big) \\ &+ \Big(xh_{T}^{\perp} H_{1}^{\perp} - \frac{M_{h}}{M} f_{1}^{\perp} \frac{\tilde{D}^{\perp}}{z} \Big) \Big] \Big\}, \\ F_{LT}^{\cos(2\phi_{h} - \phi_{S})} &= \frac{2M}{Q} \mathcal{C} \Big\{ - \Big(xg_{T} D_{1} + \frac{M_{h}}{M} h_{1} \frac{\tilde{E}}{z} \Big) \\ &+ \frac{k_{T} \cdot p_{T}}{2MM_{h}} \Big[\Big(xe_{T} H_{1}^{\perp} - \frac{M_{h}}{M} g_{1T} \frac{\tilde{D}^{\perp}}{z} \Big) \Big] \Big\}, \\ F_{LT}^{\cos(2\phi_{h} - \phi_{S})} &= \frac{2M}{Q} \mathcal{C} \Big\{ - \frac{2(\hat{h} \cdot p_{T}) - k_{T} \cdot p_{T}}{2M^{2}} \Big(xg_{T}^{\perp} D_{1} + \frac{M_{h}}{M} h_{1}^{\perp} \frac{\tilde{D}}{z} \Big) \\ &+ \frac{2(\hat{h} \cdot k_{T}) (\hat{h} \cdot p_{T}) - k_{T} \cdot p_{T}}{2MM_{h}} \Big[\Big(xe_{T} H_{1}^{\perp} - \frac{M_{h}}{M} g_{1T} \frac{\tilde{D}}{z} \Big) \\ &+ \frac{2(\hat{h} \cdot k_{T}) (\hat{h} \cdot p_{T}) - k_{T} \cdot p_{T}}{2MM_{h}} \Big[\Big(xe_{T} H_{1}^{\perp} + \frac{M_{h}}{M} g_{1}^{\perp} \frac{\tilde{D}}{z} \Big) \\ &- \Big(xe_{T}^{\perp} H_{1}^{\perp} + \frac{M_{h}}{M} f_{1}^{\perp} \frac{\tilde{G}^{\perp}}{z} \Big) \Big] \Big\}. \end{split}$$

$$\begin{split} xe &= x\tilde{e} + \frac{m}{M}f_{1}, \\ xf^{\perp} &= x\tilde{f}^{\perp} + f_{1}, \\ xg_{T}^{\perp} &= x\tilde{g}_{T}^{\perp} + \frac{m}{M}h_{1T}, \\ xg_{T}^{\perp} &= x\tilde{g}_{T}^{\perp} + g_{1T} + \frac{m}{M}h_{1T}^{\perp}, \\ xg_{T} &= x\tilde{g}_{T} - \frac{p_{T}^{2}}{2M^{2}}g_{1T} + \frac{m}{M}h_{1}, \\ xg_{L}^{\perp} &= x\tilde{g}_{L}^{\perp} + g_{1L} + \frac{m}{M}h_{1L}^{\perp}, \\ xh_{L} &= x\tilde{h}_{L} + \frac{p_{T}^{2}}{M^{2}}h_{1L}^{\perp} + \frac{m}{M}g_{1L}, \\ xh_{T} &= x\tilde{h}_{T} - h_{1} + \frac{p_{T}^{2}}{2M^{2}}h_{1T}^{\perp} + \frac{m}{M}g_{1T}, \\ xh_{T}^{\perp} &= x\tilde{h}_{T}^{\perp} + h_{1} + \frac{p_{T}^{2}}{2M^{2}}h_{1T}^{\perp}, \\ xe_{L} &= x\tilde{e}_{L}, \\ xe_{T} &= x\tilde{e}_{L}, \\ xe_{T} &= x\tilde{e}_{T}^{\perp}, \\ xf_{T}^{\perp} &= x\tilde{f}_{T}^{\perp} + \frac{p_{T}^{2}}{M^{2}}f_{1T}^{\perp}, \\ xf_{T}^{\perp} &= x\tilde{f}_{T}^{\perp} + f_{1T}^{\perp}, \\ xf_{T} &= x\tilde{f}_{T} + \frac{p_{T}^{2}}{2M^{2}}f_{1T}^{\perp}, \\ xf_{T} &= x\tilde{f}_{L}^{\perp}, \\ xf_{L}^{\perp} &= x\tilde{f}_{L}^{\perp}, \\ xg^{\perp} &= x\tilde{g}^{\perp} + \frac{m}{M}h_{1}^{\perp}, \end{split}$$

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 $xh = x\tilde{h} + \frac{p_T^2}{M^2}h_1^{\perp}.$

BDGMMSch:

* "Whether and how the tree-level factorization used in the present paper extends to subleading level in 1/Q is presently not known."

Simple approach – Twist-two +Cahn kinematical corrections

$$\frac{d\sigma^{lq \to lq}}{dy \, d\varphi_q} \propto \frac{\hat{s}^2 + \hat{u}^2 + \lambda \lambda^q (\hat{s}^2 - \hat{u}^2)}{\hat{t}^2}$$
$$\hat{s} \approx 2MEx \left[1 - 2\sqrt{1 - y} \frac{|\mathbf{k}_T|}{Q} \cos \varphi_q \right],$$
$$\hat{t} = -Q^2 = -2MExy,$$
$$\hat{u} \approx -2MEx(1 - y) \left[1 - \frac{2|\mathbf{k}_T|}{Q\sqrt{1 - y}} \cos \varphi_q \right]$$

Higher twist example 1: unpolarized SIDIS

Cahn effect (tw-2 DFs and FFs + kinem. corr.)contributions



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Higher twist example 2: Cahn effect in A_{LL}



(The HERMES Collaboration)



Revisit interpretation

FIG. 2. Target-spin analyzing powers for π^+ : $A_{UL}^{\sin\phi}$ (squares) and $A_{UL}^{\sin2\phi}$ (circles) as a function of Bjorken *x*. Error bars show the statistical uncertainty and the band represents the systematic uncertainties for $A_{UL}^{\sin\phi}$. As shown in Table II, $\langle Q^2 \rangle$ varies with *x*.

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A_{LU} @ HERMES & CLASS



Fig. 6. Comparison of the kinematically rescaled asymmetry amplitudes $A_{LU}^{\sin\phi} \cdot \langle Q \rangle / f(\langle y \rangle)$ for π^+ between the HERMES (circles) and CLAS (triangles) measurements. The full square represents a previous HERMES measurement [14], averaged over the indicated large z range (0.2 < z < 0.7). The outer error bars represent the quadratic sum of the systematic uncertainty and the statistical uncertainty (inner error bars).

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Interpretation of target transverse spin asymmetries

Twist-2 + k_T/Q kinematical corrections:

$$A_{LT}^{\cos(\varphi_s)} \propto \frac{M}{Q} g_{1T}^{q} \otimes D_{1q}^{h}$$

$$A_{LT}^{\cos(2\varphi_h-\varphi_s)} \propto \frac{M}{Q} g_{1T}^q \otimes D_{1q}^h$$

$$A_{UT}^{\sin(\varphi_s)} \propto \frac{M}{Q} \Big(h_1^q \otimes H_{1q}^{\perp h} + f_{1T}^{\perp q} \otimes D_{1q}^h \Big)$$

$$A_{UT}^{\sin(2\varphi_h-\varphi_s)} \propto \frac{M}{Q} \Big(h_{1T}^{\perp q} \otimes H_{1q}^{\perp h} + f_{1T}^{\perp q} \otimes D_{1q}^h \Big)$$

Higher twist example 2: predictions for $\cos(\varphi_s)$ asymmetry



Fig. 4. A_{LT} for π^+ production as a function of x and z at 27.5 GeV energy. The dashed and dot-dashed curves correspond to the contributions of the two terms of Eq. (18), respectively, and the full curve is the sum of those two. The thin curve corresponds to 6 GeV and the thick curve to 12 GeV energies, respectively.

Azimuthal asymmetries in TFR: Cahn



LUND String Fragmentation Modified LEPTO

EMC Collaboration (280GeV) $w_1(y) = (2 - y)\sqrt{1 - y}/(1 + (1 - y)^2)$

Predictions of modified LEPTO for x_F dependence of $\langle \cos \phi_h \rangle$ for different hadrons produced in 12 GeV unpolarized SIDIS process

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Results: Sivers





Concluding remarks

- Will be nice to have data for unpolarized x-sections and asymmetries as a function of all kinematical variables:
 - (x, z, P_T, Q^2) or (x, P_T) , (z, P_T) , (x_F, P_T) ...
- It is important to study spin & TMD asymmetries also in x_F<0 region